

**GOVERNMENT OF ANDHRA PRADESH
ABSTRACT**

MA&UD Department – Adoption of Energy Conservation Building Code (ECBC) – Amendment to Andhra Pradesh Building Rules, 2012 – Notification – Issued.

MUNICIPAL ADMINISTRATION & URBAN DEVELOPMENT DEPARTMENT

G.O.Ms.No. 30

Dated: 28.01.2014

Read the following:

1. G.O.Ms.No.168 MA&UD Dept., Dated: 07.04.2012
2. G.O.Ms.No.38, Energy (Res-A2) Department, dated: 26.09.2012
3. Minutes of the Meeting held in the Chambers of Chief Secretary to Government on 18.09.2012
4. G.O.Rt.No.1328 MA&UD Dept., Dated: 04.10.2012
5. Technical Committee report on adoption of ECBC

* * *

ORDER:

Government in G.O. 1st read above have issued Andhra Pradesh Building Rules, 2012 applicable to all Urban Development Authority areas and Urban Local Bodies together with Gram Panchayat areas which are covered in Master Plans/ General Town Planning Schemes/ Outline Development Plans.

2. Due to non - availability of adequate fuel supply, the ever increasing demand for power; and the resultant mismatch between demand and supply; there is need to promote energy efficiency & energy conservation measures in the country. Energy Conservation measures also make overall economic sense. In this connection, the Government of Andhra Pradesh in the G.O.2nd read above constituted the State Energy Conservation Mission (SECM) to enhance awareness on energy conservation measures among various stakeholders, to develop a comprehensive communication strategy, and also to promote energy conservation & energy efficiency activities in various sectors in the state.

3. Building Sector, especially large commercial and residential segment, offers a unique opportunity for energy conservation. Towards this goal, there is a need to take various measures for energy conservation in the State.

4. Based on the recommendations of the meeting 3rd read above, the Government vide G.O.Rt.4th read above constituted a Technical Committee to suggest the energy conservation measures & to identify the changes so required in the Acts and building bye-laws.

5. The Technical Committee after series of consultations has prepared draft Energy Conservation Building (ECB) code. The draft Energy Conservation Building Code was again discussed with various Stakeholders. After considering various suggestions, the Technical Committee in the reference 5th read above have submitted its report along with draft Energy Conservation Building code.

P.T.O

6. The Government after careful examination of the report, have decided to amend the Andhra Pradesh Building Rules, 2012 issued in the G.O. 1st read above. Further in order to ensure smooth implementation of various provisions, it was also decided to empanel Architects/ Architectural Firms with MAUD and NREDCAP and to train concerned Municipal Officials and Engineers on implementation of Energy Conservation Building Code in coordination with Administrative Staff College of India, Hyderabad, Bureau of Energy Efficiency (BEE) and International Institute of Information Technology, Hyderabad, before the Energy Conservation Building Code comes into force.

7. A copy of this Order is available on the Internet and can be accessed at the address <http://goir.ap.gov.in/>.

8. Accordingly the following notification will be published in an Extraordinary issue of Andhra Pradesh Gazette Dated:30.01.2014 and these orders will come into force from 01.08.2014.

NOTIFICATION

In exercise of the powers conferred by section 585 read with 592 of the Greater Hyderabad Municipal Corporation Act, 1955; Proviso under sub - section (2) read with sub - section (1) of section 14 and sections 32, 46 and 58 of the Andhra Pradesh Urban Areas (Development) Act, 1975, section 56 (1) of the Hyderabad Metropolitan Development Authority Act, 2008; section 18 of the Andhra Pradesh Municipal Corporations Act, 1994; section 326 of the Andhra Pradesh Municipalities Act, 1965 and section 44 (1) of the Andhra Pradesh Town Planning Act, 1920 the Government of Andhra Pradesh hereby makes the following amendment to the Andhra Pradesh building Rules, 2012 issued in G.O.Ms.No.168 MA&UD Dept., Dated: 07.04.2012 applicable to all Urban Development Authority areas and Urban Local Bodies together with Gram Panchayat areas which are covered in Master Plans / General Town Planning Schemes / Outline Development Plans.

AMENDMENT

(I) In the said rules, after clause (a) of rule 2 the following shall be inserted namely-

“(aa) **‘Energy Conservation Building Code’ or ECBC** is the energy code adopted by the Bureau of Energy Efficiency in 2007 and revised in 2008, that provides the minimum requirements for energy-efficient building design and construction.

The Energy Conservation Building Code (2008) when locally adapted to Andhra Pradesh’s climate is termed as the “Andhra Pradesh Energy Conservation Building Code (APECBC).” All definitions included in the Energy Conservation Building Code (2008) and not otherwise defined herein are applicable to the Andhra Pradesh Energy Conservation Building Code.”

(II) After Clause (b) of rule 15 the following shall be added namely:

“(C) Compliance with Andhra Pradesh Energy Conservation Building Code

- (i). The code shall be applicable to commercial buildings and other Non Residential Buildings that have a plot area of more than 1000 Square Meters or built up area of 2000 Square Meters and certain categories of buildings such as Multiplexes, Hospitals, Hotels, and Convention Centers irrespective of their built up area shall comply with the APECB Code as given in Annexure XIII.
- (ii). The code is mandatory for all new buildings, as stated above, to comply with AP* (AP ONE STAR) with prescriptive/whole building performance method of compliance for the buildings. The prescriptive method format is given in Appendix G of APECBC, as given Annexure XIII. The whole building performance method is given in Appendix B of APECBC, as given Annexure XIII.
- (iii). At the time of plan approval, the Owner and Builder/developer shall submit the AP* (AP ONE STAR) compliance, sealed and signed by AP Empanelled Architect with MAUD and NREDCAP or Bureau of Energy Efficiency Empanelled Architect against the mandatory requirement of compliance of APECBC to respective Urban Local Body. The details of compliance documentation, administration and energy analysis is given in the APECBC in chapter 3 as given Annexure XIII.
- (iv). At the time of issuance of occupancy certificate, the builder/owner/developer shall submit the professional statement by AP Empanelled Architect with MAUD and NREDCAP / BEE Empanelled Architect verifying that the building has been built in accordance with the approved design and plan approval. Once the professional statement is submitted along with any other necessary approvals under rules 25 and 26 of the AP Building Rules, 2012, the occupancy certificate will be issued.
- (v). In accordance with rules 25 and 26 of the AP Building rules 2012, the Urban Local Body may conduct random unscheduled progress inspections throughout the construction phase of a building for any new building, addition or alteration project, to ensure that the building complies with the APECBC. "

(III) After Clause (ii) of rule 22, the following shall be added namely-

- "(iii). For the Buildings adopting APECB Code, building approvals may be given on priority".

(IV) After Annexure - XII the Andhra Pradesh Energy Conservation Building Code Annexure XIII shall be added.

(BY ORDER AND IN THE NAME OF THE GOVERNOR OF ANDHRA PRADESH)

Dr. S.K. JOSHI
PRINCIPAL SECRETARY TO GOVERNMENT

To

The Commissioner, Printing, Stationery & Stores Purchase Department, Hyderabad (for Publication of the Notification in the Gazettee and furnish 300 copies).

Contd..4..

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 The Metropolitan Commissioner,
 Hyderabad Metropolitan Development Authority,
 Hyderabad,
 The Commissioner & Director of Municipal Administration,
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 The Director of Town & Country Planning, A.P. Hyderabad,
 All Vice Chairmen of Urban Development Authorities in the State.
 All Municipal Commissioners in the State through Commissioner &
 Director of Municipal Administration, Hyderabad A.P.,
 The Director General of State Disaster Response and Fire Services,
 Hyderabad
 The Chairman & Managing Director,
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 The Managing Director,
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 The Engineer in Chief (Public Health) Hyderabad.
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 The Managing Director,
 South Central Railway,
 Secunderabad.
 The Member Secretary,
 Andhra Pradesh Pollution Control Board,
 Payavaran Bhawan, A-III, Industrial Estate,
 Sanath Nagar, Hyderabad - A.P.
 The Director General,
 Bureau of Energy Efficiency,
 4th floor, Seva Bhavan, New Delhi - 110066
 The Vice Chairman & Managing Director,
 New & Renewable Energy Development Corporation of Andhra
 Pradesh Limited, Pisagh Complex, Nampally, Hyderabad
 The Chief Executive Officer,
 State Energy Conservation Mission,
 Vidyut Soudha, Hyderabad
 The Vice Chairman & Managing Director,
 Andhra Pradesh Industrial Infrastructure Corporation,
 Hyderabad
 The Person-In-Charge,
 National Institute of Urban Management,
 Hyderabad
 The Professor and Dean of Research and Management Studies,
 ASCI, Bella Vista, Khairtabad, Hyderabad
 The International Institute of Information Technology
 Gachibowli, Hyderabad 500 032

Copy to:

The PS to Secretary to Hon'ble Chief Minister
 The PS to Hon'ble Minister for MA&UD
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 All Departments in Secretariat.
 Sf/Sc.

ECBC Adoption in Andhra Pradesh



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1 Purpose:

The purpose of this code is to provide minimum requirements for the energy-efficient design and construction of buildings.

2 Scope:

The code is applicable to commercial buildings and other Non Residential Buildings that have a plot area of more than 1000 Square Meters or built up area of 2000 Square Meters and certain categories of buildings such as Multiplexes, Hospitals, Hotels and Convention Centers irrespective of their built up area.

2.1. Applicable Building Systems:

The provisions of this code shall apply to, -

- a) Building envelopes, except for unconditioned storage spaces or warehouses;
- b) Mechanical systems and equipment, including heating, ventilating, and air conditioning;
- c) Service hot water heating;
- d) Interior and exterior lighting; and
- e) Electrical power and motors.

2.2. Exemptions:

The provisions of this code shall not apply to,-

- a) Buildings that do not use either electricity or fossil fuel; or
- b) Equipment and portions of building systems that use energy primarily for manufacturing processes.

2.3. Safety, Health and Environmental Codes Take Precedence:

Where this code is contrary to any of the provisions of laws relating to safety, health, or environment, the provisions of safety, health or environmental laws shall apply.

2.4. Reference Standards:

National Building Code 2005 is the reference document/ standard for lighting levels, HVAC, comfort levels, natural ventilation, pump and motor efficiencies, transformer efficiencies and any other building materials and system performance criteria.

3 Administration and Enforcement:

3.1. Compliance Requirements:

3.1.1. Mandatory Requirements:

- A. Compliance of this code shall be mandatory for buildings specified in clause 2.
- B. All Government notifications related to energy conservation or mandatory use of any product/process or equipment shall be complied with.

3.1.2. New Buildings:

New buildings shall comply with either the provisions of clause 4 to 8 of this code or the Whole Building Performance Method of Appendix B. In case of mixed use buildings if the builtup area of the commercial part qualifies for the applicability of this code then the commercial part of the building need to comply with this code.

3.1.3. Additions to existing Buildings:

Where the addition plus the existing building exceeds the builtup area threshold of clause 2, additions shall comply with the provisions of clause 4 to 8. Compliance may be demonstrated in either of the following ways:-

- (a) The addition alone shall comply with the applicable requirements, or
- (b) The addition, together with the entire existing building shall comply with the requirements of this code that would apply to the entire building, as if it were a new building:

Exception to clause 3.1.3: When space conditioning is through the existing systems and equipment, the existing system and equipment need not comply with this code. However, any new equipment installed must comply with specific requirements applicable to that equipment.

3.1.4. Alterations to existing Buildings:

Where the existing building exceeds the builtup area threshold as specified in clause 2, the portions of a building and its systems that are being altered shall meet the provisions of clause 4 to 8. The specific requirements for alterations are described in the following subsections.

Exception to clause 3.1.4: When the entire building complies with all of the provisions of clause 4 to 8 as if it were a new building.

3.1.4.1 Building Envelope:

Alterations to the building envelope shall comply with the requirements of clause 4 for fenestration, insulation, and air leakage applicable to the portions of the buildings and its systems being altered.

Exception to clause 3.1.4.1 the following alterations need not comply with these requirements provided such alterations do not increase the energy usage of the building.

- (a) Replacement of glass in an existing sash and frame, provided the U-factor and SHGC of the replacement glazing are equal to or lower than the existing glazing;
- (b) Modifications to roof/ceiling , wall or floor cavities which are insulated to full depth with insulation; and
- (c) Modifications to walls and floor without cavities and where no new cavities are created.

3.1.4.2 Heating, Ventilation and Air Conditioning:

Alterations to building heating, ventilating, and air conditioning equipment or systems shall comply with the requirements of clause 5 applicable to the portions of the building and its systems being altered. Any new equipment or control devices installed in conjunction with the alteration shall comply with the specific requirements applicable to that equipment or control device.

3.1.4.3 Service Water Heating:

Alterations to building service water heating equipment or systems shall comply with the requirements of clause 6 applicable to the portions of the building and its systems being altered. Any new equipment or control devices installed in conjunction with the alteration shall comply with the specific requirements applicable to that equipment or control device.

3.1.4.4 Lighting:

Alterations to building lighting equipment or system shall comply with the requirements of clause 7 applicable to the portions of the building and its systems being altered. New lighting systems, including controls, installed in an

existing building and any change of building area type as listed in Table 7.1 shall be considered an alteration. Any new equipment or control devices installed in conjunction with the alteration shall comply with the specific requirements applicable to that equipment or control device:

Exception to clause 3.1.4.4: Alterations that replace less than 50% of the luminaries in a space need not comply with these requirements provided such alterations do not increase the connected lighting load.

3.1.4.5 Electric Power and Motors:

Alteration to building electric power systems and motor shall comply with the requirements of clause 8 applicable to the portions of the building and its systems being altered. Any new equipment or control devices installed in conjunction with the alteration shall comply with the specific requirements applicable to that equipment or control device.

3.2. Compliance Approaches:

The building shall comply with the mandatory provisions of clause 4.2, 5.2, 6.2, 7.2, and 8.2 and either of the following:

- (a) Prescriptive Method (4.3, 5.3, and 7.3)
Exception to clause 3.2 (a): The envelope trade-off option of clause 4.4 may be alternatively used in place of the prescriptive criteria of clause 4.3
- (b) Whole Building Performance Method of [Appendix B](#) clause 1.

3.2.1 Consistent with Section 22 of AP Building Rules, 2012 and to encourage compliance, the ULB shall adopt the following compliance rating methodology as described in the table below. **Compliance by prescriptive method to get AP* is mandatory for all the new buildings** as per definition given in section 2(aa). However, builder/owner/developer can adopt AP** and above on voluntary basis.

APECBC Compliance Rating Methodology:

Category	Compliance Requirement
AP*	compliance by prescriptive method as per APECBC or compliance by whole building performance method with energy savings up to 5% above standard design
AP **	compliance by whole building performance method with energy savings of ≥ 6 and $\leq 10\%$ above standard design
AP ***	compliance by whole building performance method with energy savings of ≥ 11 and $\leq 15\%$ standard design
AP ****	compliance by whole building performance method with energy savings of ≥ 16 and $\leq 20\%$ above standard design
AP *****	compliance by whole building performance method with energy savings of ≥ 21 and $\leq 30\%$ above standard design
AP *****	compliance by whole building performance method with energy savings above 30% of standard design

3.3. Administrative Requirements:

Administrative requirements relating to permit requirements, enforcement, interpretations, claims of exemption, approved calculation methods, and rights of appeal are specified by the Authority having Jurisdiction.

- 3.3.1** Professional statement for energy analysis shall be prepared to identify the compliance format and to demonstrate how the project design and the construction complies with APECBC
- 3.3.2** Professional responsibility for energy analysis and compliance for any method adopted by builder/owner/developer/ shall be fixed on respective AP Empanelled architect or BEE empanelled architect who submits the professional statement

3.4. Compliance Documents:

3.4.1. General:

Plans and specifications shall show all pertinent data and features of the building, equipment, and systems in sufficient detail to permit authority having jurisdiction to verify that the building complies with the requirements of this code. Details shall include, but are not limited to:

- (a) Building Envelope: insulation materials and their R- values; fenestration U- factors, solar heat gain coefficients (SHGC), visible light transmittance (if the trade-off procedure is used), and air leakage, overhangs and side fins, building envelope sealing details;
- (b) Heating, Ventilation, and Air Conditioning : system and equipment types, sizes, efficiencies and controls , economizers, variable speed drives, piping insulation, duct sealing, insulation and location, requirement for balance report;
- (c) Service Hot Water and Pumping : solar water heating system;
- (d) Lighting: lighting schedule showing type, number, and wattage of lamps and ballasts, automatic lighting shut off, occupancy sensors, and other lighting controls, lamp efficacy of exterior lamps; and
- (e) Electrical Power: electric schedule showing transformer losses, motor efficiencies, and power factor correction devices, electric check metering and monitoring system.

3.4.2. Supplemental information:

The authority having jurisdiction may require supplemental information necessary to verify compliance with this code, such as calculations, worksheets, compliance forms, manufacturer's literature, or other data.

3.4.3 Inspection by Urban Local Bodies

3.4.3.1 ULB Authority. The ULB has full authority to implement the APECBC including but not limited to:

- a. **APECBC Compliance Application Fees.** The applicant shall pay the APECBC compliance application fee to the ULB, as determined by MAUD and the ULB, for every APECBC compliance application that is submitted to the ULB for approval. The ULB has the authority to develop a fee payment schedule and periodically modify fee amounts.

- b. **Progress Inspections.** The ULBs have the authority to determine the required inspection documentation to verify APECBC compliance for the envelope, heating, ventilation and air conditioning, service hot water and pumping, lighting and electrical power of the building, during the construction and occupancy phases.
- c. **Incentive Structures.** The ULB and MAUD have the authority to provide incentives for compliance with AP*** or higher categories of the APECBC Compliance Rating, consistent with this code. The incentives may be in the form of expedited processing of the certificate of construction and occupancy certificate filed by the applicant.
- d. **Energy Analysis Disclosure.** The ULB has the authority to disclose the energy analysis results at any time.
- e. **APECBC Trainings and Education.** The ULB is authorized to develop training for ULB staff and inspectors; education and outreach programs to design professionals; and programs for licensing professionals and inspectors on APECBC compliance.
- f. **Compliance and Enforcement.** The ULB has broad authority to ensure compliance and take enforcement actions for violations of this GO.
 - i. The ULB has the authority appeal to BEE to revoke the empanelment certification for BEE empanelled architects that sign APECBC compliance applications for buildings found to be noncompliant.
 - ii. The ULB has the authority to blacklist BEE empanelled architects that repeatedly submit noncompliant energy analysis or falsely any documents pursuant to this GO for APECBC compliance.
 - iii. The ULB has the authority to develop a program utilizing third party assessors or inspectors to ensure compliance with the APECBC.

4 Envelope:

4.1 General:

The building envelope shall comply with the mandatory provisions of clause 4.2 and either the prescriptive criteria of clause 4.3 or the trade – off option of clause 4.4.

4.2 Mandatory Requirements:

4.2.1 Fenestration:

4.2.1.1 U- factors:

U-factors shall be determined for the overall fenestration product (including the sash and frame) in accordance with ISO- 15099, as specified in [Appendix C](#), by an accredited independent laboratory, and labeled and certified by the manufacturer or other responsible party. U-factors for sloped glazing and skylights shall be determined at a slope of 20 degrees above the horizontal. For unrated products, use the default table in Appendix C. § 10

4.2.1.2 Solar Heat Gain Coefficient (SHGC):

SHGC shall be determined for the overall fenestration product (including the sash and frame) in accordance with ISO- 15099, as specified in [Appendix C](#) clause 11 by an accredited independent laboratory, and labeled and certified by the manufacturer or other responsible party:

Exceptions to clause 4.2.1.2:

- (a) Shading Coefficient (SC) for the center glass alone multiplied by 0.86 is an acceptable alternate for compliance with the SHGC requirement for the overall fenestration area.
- (b) Solar Heat Gain Coefficient (SHGC) for the glass alone is an acceptable alternate for compliance with the SHGC requirements for the overall fenestration product.

4.2.1.3 Air Leakage:

Air leakage for glazed swinging entrance doors and revolving doors shall not exceed 5.0 l/s-m². Air leakage for other fenestration and doors shall not exceed 2.0 l/s-m².

4.2.2 Opaque Construction:

U-factors shall be determined from the default tables in [Appendix C](#) or determined from data or procedures contained in the ASHRAE fundamentals, 2005

4.2.3 Building Envelope Sealing:

The following areas of the enclosed building envelope shall be sealed, caulked, gasketed, or weather – stripped to minimize air leakage:

- (a) Joints around fenestration and door frames;
- (b) Openings between walls and foundations and between walls and roof and wall panels;
- (c) Openings at penetrations of utility services through, roofs, walls, and floors;
- (d) Site- built fenestration and doors;
- (e) Building assemblies used as ducts or plenums; and
- (f) All other openings in the building envelope.

4.3 Prescriptive Requirements:

4.3.1 Roofs:

Roofs shall comply with either the maximum assembly U- factor or the minimum insulation R- value in Table 4.1 R- value is for the insulation alone and does not include building materials or air films. The roof insulation shall not be located on a suspended ceiling with removable ceiling panels.

Table 4-1: Roof assembly U factor and insulation R- value Requirements:

Climate Zone	24- Hour use buildings Hospitals, Hotels, Call Centers etc.		Daytime use buildings Other Buildings Types	
	Maximum U- factor of the overall assembly (W/m ² - °C)	Minimum R -value of insulation alone (m ² - °C / W)	Maximum U -factor of the overall assembly (W/ m ² - °C)	Minimum R-value of insulation alone (m ² - °C / W)
Composite	U-0.261	R-3.5	U-0.409	R-2.1
Hot and Dry	U-0.261	R-3.5	U-0.409	R-2.1
Warm and Humid	U-0.261	R-3.5	U-0.409	R-2.1

Moderate	U-0.409	R-2.1	U-0.409	R-2.1
Cold	U-0.261	R-3.5	U-0.409	R-2.1

4.3.1.1 Cool Roofs:

Roofs with slopes less than 20 degrees shall have initial solar reflectance of not less than 0.70 and an initial emittance no less than 0.75. Solar reflectance shall be determined in accordance with ASTM E 903-96 and remittance shall be determined in accordance with ASTM E 408-71 (RA 1996).

4.3.2 Opaque Walls:

Opaque walls shall comply with either the maximum assembly U-factor or the minimum insulation R-value in Table 4.2. R-value is for the insulation alone and does not include building materials or air films.

Table4-2: Opaque Wall Assembly U- factor and Insulation R-value Requirements

Climate Zone	Hospitals, Hotels, Call Centers etc.(24- Hour)		Other Buildings Types (Daytime)	
	Maximum U- factor of the overall assembly (W/m ² - °C)	Minimum R -value of insulation alone (m ² - °C / W)	Maximum U -factor of the overall assembly (W/ m ² - °C)	Minimum R-value of insulation alone (m ² - °C / W)
Composite	U-0.440	R-2.10	U-0.440	R-2.10
Hot and Dry	U-0.440	R-2.10	U-0.440	R-2.10
Warm and Humid	U-0.440	R-2.10	U-0.440	R-2.10
Moderate	U-0.440	R-2.10	U-0.440	R-2.10
Cold	U-0.369	R-2.20	U-0.352	R-2.35

4.3.3 Vertical Fenestration:

Vertical fenestration shall comply with the maximum area weighted U-factor and maximum area weighted SHGC requirement of Table 4.3 Vertical fenestration area is limited to a maximum of 60% of the gross wall area for the prescriptive requirement.

Table 4-3: Vertical fenestration U-factor and SHGC Requirements

(U-factor in W/ m²- °C)

		WWR≤ 40%	40%<WWR≤60%
Climate	Maximum U-factor	Maximum SHGC	Maximum SHGC
Composite	U-0.440	R-2.10	U-0.440
Hot and Dry	U-0.440	R-2.10	U-0.440
Warm and Humid	U-0.440	R-2.10	U-0.440
Moderate	U-0.440	R-2.10	U-0.440
Cold	U-0.369	R-2.20	U-0.352
See Appendix C clause 11.1 for default values of Unrated Fenestration			

Exception to clause 4.3.3: Overhangs and / or side fins may be applied in determining the SHGC for the proposed design. An adjusted SHGC, accounting for overhangs and /or side fins, is calculated by multiplying the SHGC of the unshaded fenestration product times a multiplication (M) factor. If this exception is applied, a separate M Factor shall be determined for each orientation and unique shading condition by equation 12-2 and the overhang and side fins coefficients are available in table 12.6.

Table 4-4: SHGC “M” Factor Adjustments for Overhangs and Fins

		Overhang “M” Factors for 4 Projection Factors				Vertical Fin “M” Factors for 4 Projection Factors				Overhang +Fin “M” Factors for 4 Projection Factors			
Project Location	Orientation	0.25	0.50	0.75	1.00	0.25	0.50	0.75	1.00	0.25	0.50	0.75	1.00
		0.49	0.74	0.99	+	0.49	0.74	0.99	+	0.49	0.74	0.99	+
North latitude 15° or greater	N	.88	.80	.76	.73	.74	.67	.58	.52	.64	.51	.39	.31
	E/W	.79	.65	.56	.50	.80	.72	.65	.60	.60	.39	.24	.16
	S	.79	.64	.52	.43	.79	.69	.60	.56	.60	.33	.10	.02
Less than 15° North latitude	N	.83	.74	.69	.66	.73	.65	.57	.50	.59	.44	.32	.23
	E/W	.80	.67	.59	.53	.80	.72	.63	.58	.61	.41	.26	.16
	E/W	.78	.62	.55	.50	.74	.65	.57	.50	.53	.30	.12	.04

Exception to SHGC Requirements in clause 4.4.3: Vertical Fenestration areas located more than 2.2 m (7ft) above the level of the floor are exempt from the SHGC requirement in Table 4.3 if the following conditions are compiled with:-

- (a) Total Effective Aperture: The total Effective Aperture for the elevation is less than 0.25 including all fenestration areas greater than 1.0 m, (3 ft) above the floor level; and
- (b) An interior light shelf is provided at the bottom of this fenestration area, with an interior projection factor not less than:
 - i. 1.0 for E-W, SE, SW, NE, and NW orientations;
 - ii. 0.5 for S orientation; and
 - iii. 0.35 for N orientation when latitude is < 23 degrees.

4.3.3.1 Minimum Visible Transmission (VLT) of Glazing for Vertical Fenestration:

Vertical fenestration product shall have the minimum Visual Light Transmittance (VLT), defined as function of Window Wall Ratio (WWR), where Effective Aperture > 0.1, equal to or greater than the Minimum VLT requirements of Table 4.5

Table 4-5: Minimum VLT Requirements:

Window Wall Ratio	Minimum VLT
0-0.3	0.27
0.31-0.4	0.20
0.41-0.5	0.16
0.51-0.6	0.13

4.3.4 Skylights:

Skylights shall comply with the maximum U factor and maximum SHGC requirements of Table 4.6 Skylight area are limited to a maximum of 5% of the gross roof area for the prescriptive requirement.

Table 4-6: Skylight U- factor and SHGC requirement

(U factor W/m²-°C)

Climate	Maximum U – factor		Maximum SHGC	
	With Curb	W/o Curb	0-2% SRR	2.1-5% SRR
Composite	11.24	7.71	0.40	0.25
Hot and Dry	11.24	7.71	0.40	0.25
Warm and Humid	11.24	7.71	0.40	0.25

Moderate	11.24	7.71	0.61	0.4
Cold	11.24	7.71	0.61	0.4

SRR=Skylight roof ratio which is the ratio of the total skylight area of the roof, measured to the outside of the frame, to the gross exterior roof.

See clause 11.2.2 for typical complying skylight constructions.

4.4 Building Envelope Trade – Off Option:

The building envelope complies with the code if the building envelope performance factor (EPF) of the proposed design is less than the standard design, where the standard design exactly complies with the criteria in clause 4.3. The envelope trade – off equation is found in [Appendix D](#).

5 Heating, Ventilation and Air conditioning:

5.1 General:

All heating, ventilation, and air conditioning equipment and systems shall comply with the mandatory provisions of clause 5.2 and the prescriptive criteria of clause 5.3.

5.2 Mandatory Requirements:

5.2.1 Natural Ventilation:

Natural ventilation shall comply with the design guidelines provided for natural ventilation in the National Building Code of India 2005 Part 8 Section 1, 5.4.3 and 5.7.1

5.2.2 Minimum Equipment Efficiencies:

Cooling equipment shall meet or exceed the minimum efficiency requirements of Table 4.1. Heating and cooling equipment not covered in table shall comply with ASHRAE 90.1- 2004 clause 6.4.1:

Unitary Air Conditioner shall meet IS1391 (part 1), Split air conditioner shall meet IS 1391 (part 2), Packaged air conditioner shall meet IS 8148 and Boilers shall meet IS 13980 with above 75% thermal efficiency.

Table 5-1: Chillers

Equipment Class	Minimum COP	Minimum IPLV	Test Standard
Air Cooled Chiller <530 kW(<150tons)	2.90	3.16	ARI 550/590-1998
Air Cooled Chiller≥530 kW(≥150tons)	3.05	3.32	ARI 550/590-1998
Centrifugal Water Cooled Chiller<530kW (<150 tons)	5.0	5.25	ARI 550/590-1998
Centrifugal Water Cooled Chiller ≥530 and <1050 kW(≥150 and < 300 tons)	5.55	5.9	ARI 550/590-1998
Centrifugal Water Cooled Chiller≥1050 kW) (≥300tons)	6.1	6.4	ARI 550/590-1998
Reciprocating Compressor, Water Cooled Chiller all sizes	4.20	5.05	ARI 550/590-1998
Rotary Screw and Scroll Compressor , Water Cooled Chiller <530kW (<150tons)	4.70	5.49	ARI 550/590-1998
Rotary Screw and Scroll Compressor	5.40	6.17	ARI 550/590-

Water Cooled Chiller ≥ 530kW and <1050 kW (≥150 and <300 tons)			1998
Rotary Screw and Scroll Compressor	5.75	6.43	ARI 550/590-1998
Water Cooled Chiller ≥1050kW(≥300tons)			

5.2.3 Controls:

5.2.3.1 All mechanical cooling and heating systems shall be controlled by a time clock that,-

- (a) can start and stop the system under different schedule for three different day- types per week;
- (b) is capable of retaining programming and time setting during loss of power for a period of at least 10 hours; and
- (c) includes an accessible manual override that allows temporary operation of the system for up to 2 hours:

Exception to clause 5.2.3.1:

- (a) Cooling systems < 28kW (8tons)
- (b) Heating systems < 7 kW (2 tons).

5.2.3.2 All heating and cooling equipment shall be temperature controlled. Where a unit provides both heating and cooling, controls shall be capable of providing a temperature dead band of 3o C (5 0F) within which the supply of heating and cooling energy to the zone is shut off or reduced to a minimum. Where separate heating and cooling equipment serve the same temperature zone, thermostats shall be interlocked to prevent simultaneous heating and cooling.

5.2.3.3 All cooling towers and closed circuit fluid coolers shall have either two speed motors, pony motors, or variable speed drives controlling the fans.

5.2.3.4 The automatic door closure and door gaps sealing arrangement should be provided in all air conditioned rooms.

5.2.4 Piping and Ductwork:

5.2.4.1 Piping for heating systems with a design operating temperature of 60⁰C (140⁰F) or greater shall have at least R-0.70(R-4) insulation. Piping for heating systems with a design operating temperature less than 60⁰C (140 ⁰F) but greater than 40⁰C (104⁰F), piping for cooling systems with a design operating temperature less than 15⁰C (59⁰F), and refrigerant suction piping on split systems shall have at least R-0.35 (R-2) Insulation exposed to weather shall be protected by aluminum sheet metal, painted canvas, or plastic cover. Cellular foam insulation shall be protected as above, or be painted with water retardant paint.

5.2.4.2 Ductwork shall be insulated in accordance with Table 5.2.

Table 5-2: Ductwork Insulation (m² - °C/ W):

Duct Location	Required Insulation ^a	
	Supply Ducts	Return Ducts
Exterior	R-1.4	R-0.6
Ventilated Attic	R-1.4	R-0.6
Unventilated Attic without Roof Insulation	R-1.4	R-0.6
Unventilated Attic with Roof Insulation	R-0.6	No Requirement
Unconditioned Space ^b	R-0.6	No Requirement
Indirectly conditioned Space ^c	No Requirement	No Requirement
Buried	R-0.6	No Requirement
^a Insulation R-value is measured on a horizontal plane in accordance with ASTM C518 at a mean temperature of 24 ° C (75 ° F) at the installed thickness.		
^b Includes crawlspaces both ventilated and non ventilated.		
^c Include return air plenums with or without exposed roofs above.		

5.2.5 System Balancing:

5.2.5.1 General:

Construction documents shall require that all HVAC systems be balanced in accordance with generally accepted engineering standards.

Construction documents shall require that a written balance report be provided to the owner or the designated representative of the building owner of HVAC systems serving zones with a total conditioned area exceeding 250 m² (2,500ft²).

5.2.5.1.1 Air System Balancing:

Air systems shall be balanced in a manner to first minimize throttling losses. Then, for fans with fan systems power greater than 0.75 kW (1.0 hp), fan speed shall be adjusted to meet design flow conditions.

5.2.5.1.2 Hydronic System Balancing:

Hydronic Systems shall be proportionately balanced in a manner to first minimize throttling losses, then the pump impeller shall be trimmed or pump speed shall be adjusted to meet design flow conditions:

Exceptions to 5.2.5.1.2,-

- (a) Impellers need not be trimmed nor pump speed adjusted for pumps with pump motors of 7.5 kW (10 hp) or less;
- (b) Impellers need not be trimmed when throttling results is no greater than 5% of the nameplate horsepower draw, or 2.2 kW (3hp), whichever is greater.

5.2.6 Condensers:

5.2.6.1 Condenser Locations:

Care shall be exercised in locating the condensers in such a manner that heat sink is free of interference from heat discharge by devices located in adjoining spaces and also does not interfere with such other systems installed nearby.

The condensers (outdoor units) especially of single unit room split air conditioners shall be located in shaded and well ventilated area closest to the evaporator (indoor unit). In case of unavailability of shaded area, the condensers shall be provided with artificial shading to prevent direct heating of the unit during day time by the sun light. The unitary air conditioners shall also be

installed at suitable locations to avoid direct sunlight and shading may be provided for improved performance. The piping system connecting the outdoor and indoor units shall be insulated as per clause 4.2.4.1 and the length of such pipes shall not exceed the length as specified by the manufacturer.

5.2.6.2 Treated Water for condensers:

All high - rise buildings using centralized cooling water systems shall use soft water for the condenser and chilled water systems.

5.3 Prescriptive Requirements:

Compliance shall be demonstrated with the requirements in clause 5.3.1 through clause 5.3.2 for each HVAC system that meets the following criteria,-

- (a) Serves a single zone;
- (b) Cooling (if any) is provided by a unitary packaged or split – system air conditioner or heat pump;
- (c) Heating (if any) is provided by a unitary packaged or split-system heat pump, fuel- fired furnace, electric resistance heater or baseboards connected to a boiler; and
- (d) Outside air quantity is less than 1,400 l/s (3000 cfm) and less than 70% of supply air at design conditions.

Other HVAC system shall comply with ASHRAE 90.1-2004 clause 6.5

5.3.1 Economizers:

5.3.1.1 Air side Economizers:

Each individual cooling fan system that has a design supply capacity over 1,200 l/s (2,500 cfm) and a total mechanical cooling capacity over 22kW (6.3 tons) shall include either,-

- (a) An air economizer capable of modulating outside-air and return – air dampers to supply 100 percent of the design supply air quantity as outside –air; or
- (b) A water economizer capable of providing 100% of the expected system cooling load at outside air temperatures of 10 °C (50 °F) dry-bulb/7.2 o C (45 °F) wet-bulb and below:

Exception to 5.3.1.1:

- (a) Projects in the Hot-Dry and Warm- Humid climate zones are exempt.
- (b) Individual ceiling mounted fan systems < 3,200 l/s (6,500cfm) are exempt.

5.3.1.2 Where required by clause 4.3.1.1 economizers shall be capable of providing partial cooling even when additional mechanical cooling is required to meet the cooling load.

5.3.1.3 Air–side economizer shall be tested in the field following the requirements in [Appendix F](#) to ensure proper operation:

Exception to 5.3.1.3: Air economizer installed by the HVAC system equipment manufacturer and certified for that building as being factory calibrated and tested as per the procedure in [Appendix F](#).

5.3.2 Variable Flow Hydronic Systems:

5.3.2.1 Chilled or hot-water systems shall be designed for variable fluid flow and shall be capable of reducing pump flow rates to not more than the larger of:

- (a) 50% of the design flow rate; or
- (b) The minimum flow required by the equipment manufacturer for proper operation of the chiller or boilers.

5.3.2.2 Water cooled air conditioning or heat pump units with a circulation pump motor greater than or equal to 3.7 kW (5 hp) shall have two – way automatic isolation valves on each water cooled air-conditioning or heat pump unit that are interlocked with the compressor to shutoff condenser water flow when the compressor is not operating.

5.3.2.3 Chilled water or condenser water systems that must comply with either clause 5.3.2.1 or clause 5.3.2.2 of ECBC and that have pump motors greater than or equal to 3.7 kW (5 hp) shall be controlled by variable speed drives.

6 Service Hot water and Pumping:

6.1 General:

All service water heating equipment and system shall comply with the mandatory provisions of clause 6.2.

6.2 Mandatory Requirements:

6.2.1 Solar Water Heating:

Commercial establishments like Hotels, Hospitals, Guest houses with a centralized system shall have solar water heating for at least 1/5 of the design capacity:

Exception to clause 6.2.1: systems that use heat recovery for at least 1/5 of the design capacity.

6.2.2 Equipment Efficiency:

Service water heating equipment shall meet or exceed the performance and minimum efficiency requirement presented in available Indian Standards.

- (a) Solar water heater shall meet the performance /minimum efficiency level mentioned in IS 13129 Part (1&2);
- (b) Gas Instantaneous Water heater shall meet the performance /minimum efficiency level mentioned in IS 15558 with above 80% thermal efficiency; and
- (c) Electric water heater shall meet the performance /minimum efficiency level mentioned in IS 2082.

6.2.3 Supplementary Water Heating System:

Supplementary water heating system shall be designed to maximize the energy efficiency of the system and shall incorporate the following design features as shown:

- (a) Maximum heat recovery from hot discharge system like condensers of air conditioning units;
- (b) Use of gas fired heaters wherever gas is available; and
- (c) Electric heater as last resort.

6.2.4 Piping Insulation:

Piping insulation shall comply with clause 5.2.4.1. The entire hot water system including the storage tanks, pipelines shall be insulated conforming to the relevant IS standards on materials and applications.

6.2.5 Heat Traps:

Vertical pipe risers serving storage water heaters and storage tanks not having integral heat traps and serving a non-recirculating system shall have heat traps on both the inlet and outlet piping as close as practical to the storage tank.

6.2.6 Swimming Pools:

Heated pools shall be provided with a vapor retardant pool cover on or at the water surface. Pools heated to more than 32°C (90°F) shall have a pool cover with a minimum insulation value of R-2.1 (R-12):

Exception to clause 6.2.6: Pools deriving over 60% of their energy from site-recovered energy or solar energy source.

6.2.7 Compliance Documentation:

The application for approval shall furnish detailed calculation showing the design to ensure that at least 20% of the heating requirement shall be met from solar heat/ heat recovery and not more than 80% of the heat shall be met from electrical heating. Wherever gas is available, not more than 20% of the heat shall be met from electrical heating.

7 Lighting:

7.1 General:

Lighting systems and equipment shall comply with the mandatory provisions of clause 7.2 and the prescriptive criteria of clause 7.3 and clause 7.3.4. The lighting requirements in this section shall apply to:

- (a) Interior spaces of buildings;
- (b) Exterior building features, including facades, illuminated roofs, architectural features, entrances, exits, loading docks, and illuminated canopies; and
- (c) Exterior building grounds lighting that is provided through the building's electrical service:

Exception to 7.1:

- (a) Emergency lighting that is automatically off during normal building operation and is powered by battery, generator, or other alternate power source
- (b) Lighting in dwelling units.

7.2 Mandatory Requirements:

7.2.1 Lighting Control:

7.2.1.1 Automatic Lighting Shutoff:

Interior lighting systems in buildings larger than 250m² (2,500ft²) shall be equipped with an automatic control device. Within these buildings, all office areas less than 30m² (300ft²) enclosed by walls or ceiling-height partitions, all

meeting and conference rooms, [all toilets](#), all school classrooms, and all storage spaces shall be equipped with occupancy sensors. For others spaces, this automatic control device shall function on either, -

- (a) A scheduled basis at specific programmed times. An independent program schedule shall be provided for areas of no more than 2,500m² (25,000ft²) and not more than one floor; or
- (b) Occupancy sensors that shall turn the lighting off within 30 minutes of an occupant leaving the space. Light fixtures controlled by occupancy sensors shall have a wall-mounted, manual switch capable of turning on lights when the space is occupied:

Exception to clause 7.2.1.1: Lighting system is designed for 24 hour use.

7.2.1.2 Space control:

Each space enclosed by ceiling-height partitions shall have at least one control device to independently control the general lighting within the space. Each control device shall be activated either manually by an occupant or automatically by sensing an occupant. Each control device shall, -

- (a) Control a maximum of 250 m² (2,500ft²) for a space less than or equal to 1,000 m²(10,000ft²), and a maximum of 1,000 m² (10,000ft²) for a space greater than 1,000 m² (10,000ft²);
- (b) Be capable of overriding the shutoff control required in 7.2.1.1 for no more than 2 hours; and
- (c) Be readily accessible and located so the occupant can see the control.

Exception to clause 7.2.1.2 (c): The required control device may be remotely installed if required for reasons of safety or security. A remotely located device shall have a pilot light indicator as part of or next to the control device and shall be clearly labeled to identify the controlled lighting.

7.2.1.3 Control in Daylighted Areas:

Luminaire in daylighted areas greater than 25m² (250 ft²) shall be equipped with either a manual or automatic control device that, -

- (a) Is capable reducing the light output of the luminaires in the daylighted areas by at least 50%; and
- (b) Controls only the luminaires located entirely within the daylighted area.

7.2.1.4 Exterior Lighting Control:

Lighting for all exterior applications not exempted in clause 7.3.4 of the code shall be controlled by a photo sensor or astronomical time switch that is capable of automatically turning off the exterior lighting when daylight is available or the lighting is not required.

7.2.1.5 Additional Control:

The following lighting applications shall be equipped with a control device to control such lighting independently of general lighting, -

- (a) Display/ Accent Lighting: Display or accent lighting greater than 300 m² (3,000ft²) area shall have a separate control device;
- (b) Case Lighting: in cases used for display purposes greater than 300 m² (3,000ft²) areas shall be equipped with a separate control device;
- (c) Hotel and Motel Guest Room Lighting: Hotel and motel guest rooms and

guest suites shall have a master control device at the main room entry that controls all permanently installed luminaires and switched receptacles;

- (d) Task Lighting: Supplemental task lighting including permanently installed under shelf for under cabinet lighting shall have a control device integral to the luminaires or controlled by a wall mounted control device provided the control device complies with clause 7.2.1.2(c).
- (e) Non-visual Lighting: Lighting for non-visual applications, such as plant growth and food- warming , shall be equipped with a separate control device; and
- (f) Demonstration Lighting: Lighting equipment that is for sale or for demonstrations in lighting education shall be equipped with separate control device accessible only to authorized personnel.

7.2.2 Signage/Advertising Signage:

Internally – illuminated exit signs shall not exceed 5W per face. The lighting power density in case of signage/advertisement signage should not exceed $5W/f^2$ for internally illuminated signage and $2.5W/f^2$ for externally illuminated signage.

7.2.3 Exterior Building Grounds Lighting:

Lighting for exterior building grounds luminaires which operate at greater than 100W shall contain lamps having minimum efficacy of 60lm/W unless the luminaire is controlled by a motion sensor or exempt under clause 7.1.

7.3 Prescriptive Requirements:

7.3.1 Interior Lighting Power:

The installed interior lighting power for a building or a separately metered or permitted portion of a building shall be calculated in accordance with clause 7.3.4 and shall not exceed the interior lighting power allowance determined in accordance with either clause 7.3.2 or clause 7.3.3. Tradeoffs of interior lighting power allowance among portions of the building for which a different method of calculation has been used are not permitted:

Exception for 7.2.2.1: The following lighting equipment and application shall not be considered when determining the interior lighting power allowance, nor shall the wattage for such lighting be included in the installed interior lighting power. However, any such lighting shall not be exempt unless it is an addition to general lighting and is controlled by an independent control device,-

- (a) Display or accent lighting that is an essential element for the function performed in galleries, museums, and monuments;
- (b) Lighting that is integral to equipment or instrumentation and is installed by its manufacturer;
- (c) Lighting specifically designed for medical or dental procedures and lighting integral to medical equipment;
- (d) Lighting integral to food warming and food preparation equipment;
- (e) Lighting for plant growth or maintenance;
- (f) Lighting in spaces specifically designed for use by the visually impaired;
- (g) Lighting in retail display windows, provided the display area is enclosed by ceiling-height partitions;
- (h) Lighting in interior spaces that have been specifically designated as a

registered interior historic landmark;

- (i) Lighting that is an integral part of advertising or directional signage
- (j) Exit signs;
- (k) Lighting that is for sale or lighting educational demonstration systems;
- (l) Lighting for theatrical purposes, including performance, stage, and film or video production
- (m) Athletic playing areas with permanent facilities for television broadcasting.

7.3.2 Building Area Method:

Determination of interior lighting power allowance (watts) by the building area method shall be in accordance with the following:

- (a) Determine the allowed lighting power density from Table 7.1 for each appropriate building area type;
- (b) Calculate the gross lighted floor area for each building area type; and
- (c) The interior lighting power allowance is the sum of the products of the gross lighted floor area of each building area times the allowed lighting power density for that building area types.

Table 7-1: Interior Lighting Power-Building Area Method

Building Area Type	LPD(W/m ²)	Building Area Type	LPD(W/m ²)
Automotive Facility	9.7	Multifamily Residential	7.5
Convention Center	12.9	Museum	11.8
Dining : Bar Lounge/Leisure	14.0	Office	10.8
Dinning: Cafeteria/Fast Food	15.1	Parking Garage	3.2
Dinning : Family	17.2	Performing Arts Theater	17.2
Dormitory./Hostel	10.8	Police/Fire Station	10.8
Gymnasium	11.8	Post Office/Town Hall/	11.8
Healthcare – clinic	10.8	Religious Building	14.0
Hospital/Health Care	12.9	Retail/Mall	16.1
Hotel	10.8	School/University	12.9
Library	14.0	Sports Arena	11.8
Manufacturing Facility	14.0	Transportation	10.8
Motel	10.8	Warehouse	8.6
Motion Picture Theater	12.9	Workshop	15.1

In cases where both a general building area type and a specific building area type are listed, the specific building area type shall apply.

7.3.3 Space Function Method:

Determination of interior lighting power allowance (watts) by the space function method shall be in accordance with the following:

- (a) Determine the appropriate building type from Table 7.2 and the allowed lighting power density;
- (b) For each space enclosed by partitions 80% or greater than ceiling height, determine the gross interior floor area by measuring to the center of the partition wall. Include the floor area of balconies or other projections Retail spaces do not have to comply with the 80% partition height requirements; and

- (c) The interior lighting power allowance is the sum of the lighting power allowances for all spaces. The lighting power allowance for a space is the product of the gross lighted floor area of the space times the allowed lighting power density for that space.

Table 7-2: Interior Lighting Power-Space Function Method

Space Function	LPD (W/m ²)	Space Function	LPD (W/m ²)
Office – Enclosed	11.8	• For Reading Area	12.9
Office –Open plan	11.8	Hospital	
Conference/meeting/multipurpose	14.0	• For Emergency	29.1
Classroom/lecture/training	15.1	• For Recovery	8.6
Lobby *	14.0	• For Nurse Station	10.8
• For Hotel	11.8	• For Exam Treatment	16.1
• For Performing Arts Theater	35.5	• For Pharmacy	12.9
• For Motion Picture Theater	11.8	• For Patient Room	7.5
Audience/Seating Area *	9.7	• For Operating Room	23.5
• For Gymnasium	4.3	• For Nursery	6.5
• For Convention Center	7.5	• For Medical Supply	15.1
• For Religious Building	18.3	• For Physical Therapy	9.7
• For Sports Arena	4.3	• For Radiology	4.3
• For Performing Arts Theater	28.0	• For Laundry- Washing	6.5
• For Motion Picture Theater	12.9	Automotive – Service Repair	7.5
• For Transportation	5.4	Manufacturing Facility	
Atrium –First Three Floors	6.5	• For Low Bay(<8m ceiling)	12.9
Atrium- each additional floor	2.2	• For High Bay(>8m ceiling)	18.3
Lounge/Recreation*	12.9	• For Detailed Manufacturing	22.6
• For Hospital	8.6	• For Equipment Room	12.9
Dining Area*	9.7	• For Control Room	5.4
• For HOTEL	14.0	Hotel/Motel Guest Rooms	11.8
• For Motel	12.9	Dormitory – Living Quarters	11.8
• For Bar Lounge/Leisure Dining	15.1	Museum	
• For Family Dining	22.6	For General Exhibition	10.8
• Food Preparation	12.9	For Restoration	18.3
Laboratory	15.1	Bank Office- Banking Activity Area	16.1
Restrooms	9.7	Retail	
Dressing/Locker/Fitting Room	6.5	• For Sales Area	18.3
Corridor/Transition*	5.4	• For Mall Concourse	18.3
• For Hospital	10.8	Sports area	
• For Manufacturing Facility	5.4	• For Ring Sports Area	29.1
Stairs-Active	6.5	• For Court Sports Area	24.8
Active Storage*	8.6	• For Indoor Field Area	15.1
• For Hospital	9.7	Warehouse	

Space Function	LPD (W/m ²)	Space Function	LPD (W/m ²)
Inactive Storage*	3.2	• For Fine Materials Storage	15.1
• For Museum	8.6	• For Medium/Bulky Materials Storage	9.7
Electrical/Mechanical Facility	16.1	Parking Garage-Garage Area	2.2
Workshop	20.5	Transportation	
Convention Center- Exhibit Space	14.0	• For Airport- Concourse	6.5
Library		• For Air/Train/Bus- Baggage Area	108
• For Card File & Cataloging	11.8	• For Ticket Counter Terminal	16.1
• For Stacks	18.3		

7.3.4 Installed Interior Lighting Power:

The installed interior lighting power calculated for compliance with clause 7.3 shall include all power used by the luminaries, including lamps, ballasts, current regulators, and control devices except as specifically exempted in clause 7.1:

Exception to clause 7.3.4: If two or more independently operating lighting systems in a space are controlled to prevent simultaneous user operation, the installed interior lighting power shall be based solely on the lighting system with the highest power.

7.3.4.1 Luminaire Wattage:

Luminaire wattage incorporated into the installed interior lighting power shall be determined in accordance with the following,-

- (a) The wattage of incandescent luminaries with medium base sockets and not containing permanently installed ballasts shall be the maximum labeled wattage of the luminaries;
- (b) The wattage of luminaires containing permanently installed ballasts shall be the operating input wattage of the specified lamp/ ballast combination based on values from manufacturers’ catalogs or values from independent testing laboratory reports;
- (c) The wattage of all other miscellaneous luminaire types not described in (a) or (b) shall be the specified wattage of the luminaires; and
- (d) The wattage of lighting track, plug-in bus way, and flexible lighting systems that allow the addition and/ or relocation of luminaries without altering the wiring of the system shall be the larger of the specified wattage of the luminaires included in the system or 135 W/m (45W/ft). Systems with integral overload protection such as fuses or circuit breakers shall be rated at 100% of the maximum rated load of the limiting device.

7.3.5 Exterior Lighting Power:

For building exterior lighting applications specified in Table 7.3 the connected lighting power shall not exceed the specified lighting power limits specified for each of these applications. Trade- off between applications is not permitted. Exterior lighting for all other applications (except those included in the Exception to clause 7.3.4) shall comply with the requirements of clause 7.2.3:

Table 7-3: Interior Lighting Power-Space Function Method

Exterior Lighting Applications	Power Limits
Building entrance (with canopy)	13 W/m ² (1.3W/ft ²) of canopied area
Building entrance (without canopy)	90 W/lin m (30 W/lin f) of door width
Building exit	60 W/lin m (20 W/lin f) of door width
Building facades	2 W/m ² (0.2W/ft2)of vertical façade area

Exception to clause 7.3.5: Lighting used for the following exterior application is exempt when equipped with an independent control device,-

- (a) Specialized signal, directional, and marker lighting associated with transportation;
- (b) Lighting used to highlight features of public monuments and registered historic landmark structures or buildings; and
- (c) Lighting that is specifically designated as required by health or life safety statute, ordinance, or regulation.

8 Electrical Power:

8.1 General:

Electrical equipment and systems shall comply with the mandatory requirements of clause 8.2.

8.2 Mandatory Requirements:

8.2.1 Transformers:

8.2.1.1 Maximum Allowable Distribution Transformer Losses:

Distribution transformers of the proper ratings and design must be selected to satisfy the minimum acceptable efficiency as 50 % and full load rating. In addition, the transformer must be selected such that it minimizes the total of its initial cost in addition to the present value of the cost of its total lost energy while serving its estimated loads during its respective life span.

Table 8-1: Dry type Transformers

(-Total losses for dry transformers should conform as per the drat standard of Indian Standard IS 2026: Part 11 2007)

Rating KVA	Max. Losses at 50 % loading [kW]*	Max. Losses at 100 % loading [kW]*	Total Losses at 50 % load [kW]*	Total losses at rated load [kW]*
Up to 22 kV class			33 kV class	
100	0.94	2.4	1.12	2.4
160	1.29	3.3	1.42	3.3
200	1.5	3.8	1.75	4
250	1.7	4.32	1.97	4.6
315	2	5.04	2.4	5.4
400	2.38	6.04	2.9	6.8
500	2.8	7.25	3.3	7.8
630	3.34	8.82	3.95	9.2
800	3.88	10.24	4.65	11.4
1000	4.5	12	5.3	12.8
1250	5.19	13.87	6.25	14.5
1600	6.32	16.8	7.5	18

2000	7.5	20	8.88	21.4
2500	9.25	24.75	10.75	26.5

Table 8-2: Oil Filled Transformers

(-Total losses for oil filled transformers should conform as per the following table as specified in Central Electricity Authority norms.

Rating KVA	Max. Losses at 50 % loading [kW]*	Max. Losses at 100 % loading [kW]*	Total Losses at 50 % load [kW]*	Total losses at rated load [kW]*
Up to 11kV class			33 kV class	
100	0.52	1.80	0.56	1.82
160	0.77	2.20	0.78	2.58
200	0.89	2.70	0.90	3.00
250	1.05	3.32	--	--
315	1.10	3.63	1.30	4.30
400	1.45	4.63	1.52	5.10
500	1.60	5.50	1.95	6.45
630	2.00	6.64	2.30	7.60
1000	3.00	9.80	3.45	11.35
1250	3.60	12.00	4.00	13.25
1600	4.50	15.00	4.85	16.00
2000	5.40	18.40	5.70	18.50
2500	6.50	22.50	7.05	23.00

For Tables 8.1, 8.2: Total loss values given in above table are applicable for thermal classes E, B & F and have component of load loss at reference temperature according to clause 17 of IS 2026: Part 11. i.e., average winding temperature rise as given in column 2 of table 8.2 plus 30°C. An increase of 7 % on total for thermal class H is allowed*

8.2.1.2 Measurement and Reporting of Transformer Losses:

All measurement of losses shall be carried out by using calibrated digital meters of class 0.5 or better accuracy and certified by the manufacturer. All transformers of capacity of 500kVA and above would be equipped with additional metering class current transformers (CTs) and potential transformers (PTs) additional to requirements of Utilities so that periodic loss monitoring study may be carried out.

8.2.2 Energy Efficient Motors:

Motors shall comply with the following:

- All permanently wired polyphase motors of 0.375kW or more serving the building and expected to operate more than 1,500 hours per year and all permanently wired polyphase motor of 50kW or more serving the building and expected to operate more than 500 hours per year shall have a minimum acceptable nominal full load motor efficiency not less than IS 12615 for energy efficient motors;
- Motors of horsepower differing from those listed in the table shall have efficiency greater than that of the next listed kW motor;
- Motor horsepower ratings shall not exceed 20 % of the calculated maximum load being served;

- (d) Motor nameplates shall list the nominal full-load motor efficiencies and the full-load power factor;
- (e) Motor users should insist on proper rewinding practices for any rewind motors. If the proper rewinding practices cannot be assured, the damaged motor should be replaced with a new, efficient one rather than suffer the significant efficiency penalty associated with typical rewind practices; and
- (f) Certificates shall be obtained and kept on record indicating the motor efficiency. Whenever a motor is rewound, appropriate measures shall be taken so that the core characteristics of the motor is not lost due to thermal and mechanical stress during removal of damaged parts. After rewinding, a new efficiency test shall be performed and similar record shall be maintained

8.2.3 Power Factor Correction:

All electricity supplies exceeding 100 A, 3 phases shall maintain their power factor between 0.95 lag and unity at the point of connection.

8.2.4 Check- Metering and Monitoring:

- (a) Services exceeding 1000kVA shall have permanently installed metering to record demand (kVA), energy (kWh), and total power factor. The metering shall also display current (in each phase and the neutral), voltage (between phases and between each phase and neutral), and Total Harmonic Distortion (THD) as a percentage of total current.
- (b) Services not exceeding 1000 kVA but over 65 KVA shall have permanently installed electric metering to record demand (kVA), energy (kWh), and total power Factor (or kVARh).
- (c) Services not exceeding 65 kVA shall have permanently installed electrical metering to record energy (kWh).

8.2.5 Power Distribution Systems in Buildings:

8.2.5.1 Power Distribution System Losses in Buildings:

The Power cabling shall be adequately sized as to maintain the power distribution losses not to exceed 1% of the total power usage. Record of design calculation for the losses shall be maintained.

9 Appendix A: Definitions, Abbreviations and Acronyms

9.1 General:

Certain terms, abbreviations and acronyms are defined in this section for the purposes of this code. These definitions are applicable to all sections of this code. Terms that are not defined shall have their ordinarily accepted meanings within the context in which they are used. Webster's Third New International Dictionary of the English Language, Unabridged, copyright 1986, shall be considered as providing ordinarily accepted meanings.

9.2 Definitions:

"Addition": an extension or increase in floor area or height of a building outside of the existing building envelope;

"Alteration": any change, rearrangement, replacement, or addition to a building or its system and equipment; any modification in construction or building equipment;

"Annual fuel utilization efficiency (AFUE)": an efficiency description of the ratio of annual output energy to annual input energy as developed in accordance with requirements of U.S. Department of Energy (DOE) 10 CFR Part 430;

"Area": see roof and wall, conditioned floor, day lighted, façade, fenestration, lighted floor

"Astronomical time switch": an automatic time switch that makes an adjustment for the length of the day as it varies over the year;

"Authority having jurisdiction" : the Authority responsible for enforcing this code;

"Automatic": self-acting, operating by its own mechanism, when actuated by some non-manual influence, such as a change in current strength, pressure, temperature, or mechanical configuration;

"Automatic control device": a device capable of automatically turning loads off and on without manual intervention;

"Balancing, air system": adjusting airflow rates through air distribution system devices, such as fans and diffusers, by manually adjusting the position of dampers, splitters vanes, extractors, etc., or by using automatic control devices, such as constant air volume or variable air volume boxes;

"Balancing, hydronic system": adjusting water flow rates through hydronic distribution system devices, such as pumps and coils, by manually adjusting the position valves, or by using automatic control devices, such as automatic flow control valves;

"Ballast": a device used in conjunction with an electric-discharge lamp to cause the lamp to start and operate under proper circuit conditions of voltage, current, waveform, electrode heat, etc.

"Boiler": a self-contained low-pressure appliance for supplying steam or hot water;

"Boiler, packaged": a boiler that is shipped complete with heating equipment, mechanical draft equipment, and automatic controls; usually shipped in one or more sections. A packaged boiler includes factory-built boilers manufactured as a unit or system, disassembled for shipment, and reassembled at the site;

"Building": a structure wholly or partially enclosed within exterior walls, or within exterior and party walls, and a roof, affording shelter to persons, animals, or property;

"Building, existing": a building or portion thereof that was previously occupied or approved for occupancy by the authority having jurisdiction;

"Building complex": a group of buildings in a contiguous area under single ownership;

"Building entrance": any doorway, set of doors, turnstiles, or other form of portal that is ordinarily used to gain access to the building by its users and occupants.

"Building envelope": the exterior plus the semi-exterior portions of a building. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- a) **Building envelope exterior**: the elements of a building that separate conditioned spaces from the exterior.
- b) **Building envelope semi-exterior**: the elements of a building that separate conditioned space from unconditioned space or that encloses semi-heated spaces through which thermal energy may be transferred to or from the exterior, or to or from unconditioned spaces, or to or from conditioned spaces;

"Building exit": any doorway, set of doors, or other form of portal that is ordinarily used only for emergency egress or convenience exit;

"Building grounds lighting": lighting provided through a building's electrical service for parking lot, site, roadway, pedestrian pathway, loading dock, and security applications;

"Building material": any element of the building envelope through which heat flows and that heat is included in the component U -factor calculations other than air films and insulation;

"Circuit breaker": a device designed to open and close a circuit by non automatic means and to open the circuit automatically at a predetermined over current without damage to itself when properly applied within its rating;

"Class of construction": for the building envelope, a subcategory of roof, wall, floor slab-on-grade floor, opaque door, vertical fenestration, or skylight;

"Coefficient of performance (COP)-cooling" the ratio of the rate of heat removal to the rate of energy input, in consistent units, for a complete refrigerating system or some specific portion of that system under designated operating conditions;

"Coefficient of performance (COP)-heating": the ratio of the rate of heat delivered to the rate of energy input, in consistent units, for a complete heat pump system including the compressor and, if applicable, auxiliary heat, under designated operating conditions;

"Commercial building": all buildings except for multi-family buildings of three stories or fewer above grade and single-family buildings;

"Construction documents": the drawings and specifications used to construct a building, building system, or portions thereof;

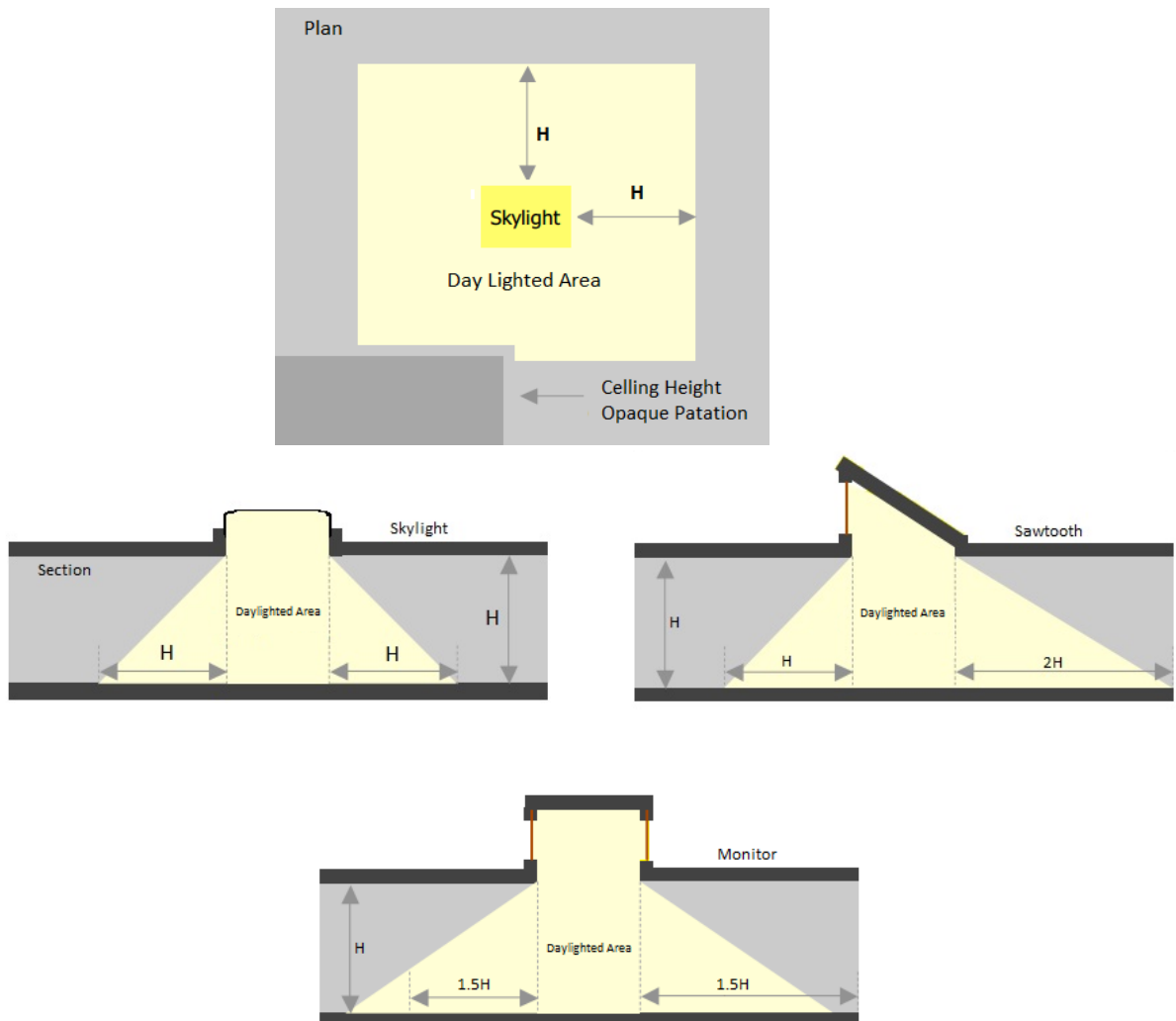
"Control": to regulate the operation of equipment;

"Control device": a specialized device used to regulate the operation of equipment;

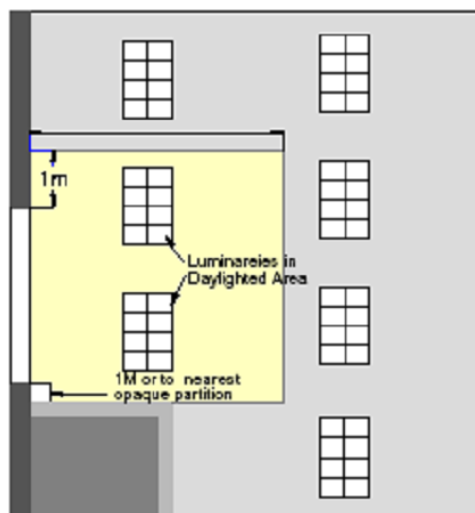
"Cool roof": a property of a surface that describes its ability to reflect and reject heat. Cool roof surfaces have both a light colour (high solar reflectance) and a high emittance (can reject heat back to the environment);

"Daylighted area": the daylight illuminated floor area under horizontal fenestration (skylight) or adjacent to vertical fenestration (window), described as follows:

- a. **Horizontal fenestration**: the area under a skylight, monitor, or sawtooth configuration with an effective aperture greater than 0.001 (0.1%). The daylighted area is calculated as the horizontal dimension in each direction equal to the top aperture dimension in that direction plus either the floor-to-ceiling height (H) for skylights, or 1.5 H for monitors, or H or 2 H for the saw tooth configuration, or the distance to the nearest 1000mm (42 in) or higher opaque partition, or one-half the distance to an adjacent skylight or vertical glazing, whichever is least, as shown in the plan and section figures below:



- b. **Vertical Fenestration:** the floor area adjacent to side apertures (vertical fenestration in walls) with an effective aperture greater than 0.06 (6%). The daylighted area extends into the space perpendicular to the side aperture a distance either two times the head height of the side aperture or to the nearest 1.35 m (54 in) or higher opaque partition, whichever is less. In the direction parallel to the window, the daylighted area extends a horizontal dimension equal to the width of the window plus either 1m (3.3 ft) on each side of the aperture, the distance to an opaque partition, or one-half the distance to an adjacent skylight or window, whichever is least.



"Dead band": the range of values within which a sensed variable can vary without initiating a change in the controlled process;

"Demand": the highest amount of power (average Btu/h over an interval) recorded for a building or facility in a selected time frame.

"Design capacity": output capacity of a system or piece of equipment at design conditions;

"Design conditions": specified environmental conditions, such as temperature and light intensity, required to be produced and maintained by a system and under which the system must operate;

"Distribution system": a device or group of devices or other means by which the conductors of a circuit can be disconnected from their source of supply;

"Door": all operable opening areas (which are not fenestration) in the building envelope, including swinging and roll -up doors, fire doors and access hatches. Doors that are more than one-half glass are considered fenestration. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- a. **Door, non-swinging**: roll-up sliding, and all other doors that are not swinging doors.
- b. **Door, swinging**: all operable opaque panels with hinges on one side and opaque revolving doors;

"Door area": total area of the door measured using the rough opening and including the door slab and the frame;

"Dwelling unit": a single unit providing complete independent living facilities for one or more persons, including permanent provisions for living, sleeping, eating, cooking, and sanitation;

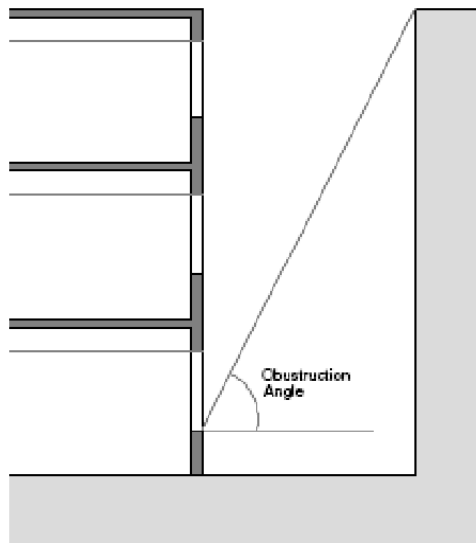
"Economizer, air": a duct and damper arrangement and automatic control system that together allow a cooling system to supply outdoor air to reduce or eliminate the need for mechanical cooling during mild or cold weather.

"Economizer, water": a system by which the supply air of a cooling system is cooled indirectly with water that is itself cooled by heat or mass transfer to the environment without the use of mechanical cooling;

"Effective aperture": Visible Light Transmittance x Window-to-wall Ratio.
(EA=VLT x WWR);

"Effective aperture, horizontal fenestration":a measure of the amount of daylight that enters a space through horizontal fenestration (skylights). It is the ratio of the skylight area times the visible light transmission divided by the gross roof area above the day lighted area. See also day lighted area.

"Effective aperture, vertical fenestration":a measure of the amount of daylight that enters a space through vertical fenestration. It is the ratio of the daylight window area times its visible light transmission plus half the vision glass area times its visible light transmission and the sum is divided by the gross wall area. Daylighted window area is located 2.2 m(7 ft) or more above the floor and vision window area is located above, 1 m (3 ft) but below 2.2 m(7 ft). The window area, for the purposes of determining effective aperture shall not include windows located in light wells when the angle of obstruction (α) of objects obscuring the sky dome is greater than 70° , measured from the horizontal, nor shall it include window area located below a height of 1 m (3 ft). See also day lighted area;



"Efficacy": the lumens produced by a lamp/ballast system divided by the total watts of input power (including the ballast), expressed in lumens per watt;

"Efficiency": performance at a specified rating condition;

"Remittance": the ratio of the radiant heat flux emitted by a specimen to that emitted by a blackbody at the same temperature and under the same conditions;

"Enclosed building": a building that is totally enclosed by walls, floors, roofs, and openable devices such as doors and operable windows;

"Energy": the capacity for doing work. It takes a number of forms that may be transformed from one into another such as thermal (heat), mechanical (work), electrical, and chemical. Customary measurements are watts (W);

"Energy Efficiency Ratio (EER)": the ratio of net cooling capacity in Btu/h to total rate of electric input in watts under designated operating conditions;

"Energy Factor (EF)": a measure of water heater overall efficiency;

"Envelope performance factor": the trade-off value for the building envelope performance compliance option calculated using the procedures specified in Appendix D clause 12. For the purposes of determining building envelope requirements the classifications are defined as follows:

- a. Base envelope performance factor: the building envelope performance factor for the base design,
- b. Proposed envelope performance factor: the building envelope performance factor for the proposed design;

"Equipment": the devices for comfort conditioned, electric power, lighting, transportation, or service water heating including, but not limited to, furnaces, boilers, air conditioners, heat pumps, chillers, water heaters, lamps, luminaires, ballasts, elevators, escalators, or other devices or installations;

"Equipment, existing": the equipment previously installed in an existing building;

"Façade area": the area of the façade, including overhanging soffits, cornices, and protruding columns, measured in elevation in a vertical plane, parallel to the plane of the face of the building. Non-horizontal roof surfaces shall be included in the calculations of vertical façade area by measuring the area in a plane parallel to the surface;

"Fan system power": the sum of the nominal power demand (nameplate W or HP) of motors of all fans that are required to operate at design conditions to supply air from the heating or cooling source to the conditioned space(s) and return it to the source of exhaust it to the outdoors;

"Fenestration": all areas (including the frames) in the building envelope that let in light, including windows, plastic panels, clerestories, skylights, glass doors that are more than one-half glass, and glass block walls.

- a. Skylight: a fenestration surface having a slope of less than 60 degrees from the horizontal plane. Other fenestration, even if mounted on the roof of a building, is considered vertical fenestration.
- b. Vertical fenestration: all fenestration other than skylights. Trombe wall assemblies, where glazing is installed within 300mm (12 in) of a mass wall, are considered walls, not fenestration;

"Fenestration area": the total area of the fenestration measured using the rough opening and including the glazing, sash, and frame. For doors where the glazed vision area is less than 50% of the door area, the fenestration area is the glazed vision area. For all other doors, the fenestration area is the door area;

"Floor area gross": the sum of the floor areas of the spaces within the building including basements, mezzanine and intermediate-floored tiers, and penthouses with headroom height of 2.5 m (7.5 ft) or greater. It is measured from the exterior faces of exterior walls or from the centerline of walls separating buildings, but excluding covered walkways, open roofed-over areas, porches and similar spaces, pipe trenches, exterior terraces or steps, chimneys, roof overhangs, and similar features:

- a. Gross building envelope floor area: the gross floor area of the building envelope, but excluding slab-on-grade floors.
- b. Gross conditioned floor area: the gross floor area of conditioned spaces.
- c. Gross lighted floor area: the gross floor area of lighted spaces.
- d. Gross semi-heated floor area: the gross floor area of semi-heated spaces;

"Flue damper": a device in the flue outlet or in the inlet of or upstream of the draft control device of an individual, automatically operated, fossil fuel-fired appliance that is designed to automatically open the flue outlet during appliance operation and to automatically close the flue outlet when the appliance is in standby condition;

"Fossil fuel": the fuel derived from a hydrocarbon deposit such as petroleum, coal, or natural gas derived from living matter of a previous geologic time;

"Fuel": a material that may be used to produce heat or generate power by combustion;

"Generally accepted engineer standard": the specification, rule, guide, or procedure in the field of engineer or related thereto, recognized and accepted as authoritative;

"Grade": the finished ground level adjoining a building at all exterior walls;

"Guest room": any room or rooms used or intended to be used by a guest for sleeping purposes;

"Heat capacity": the amount of heat necessary to raise the temperature of a given mass by 1°C (1°F). Numerically, the heatcapacity per unit area of surface ($W/m^2-^{\circ}C\{Btu/ft^2-^{\circ}F\}$) is the sum of the products of the mass per unit area of each individual material in the roof, wall, or floor surface multiplied by its individual specific heat;

"Heating Seasonal Performance Factor (HSPF)": the total heating output of a heat pump during its normal annual usage period for heating (in Btu) divided by the total electric energy input during the same period;

"Historic": a building or space that has been specifically designed as historically significant;

"HVAC System": the equipment, distribution systems, and terminals that provide, either collectively or individually, the processes of heating, ventilating, or air conditioned to a building or portion of a building;

"Infiltration": the uncontrolled inward air leakage through cracks and crevices in any building element and around windows and doors of a building caused by

pressure differences across these elements due to factors such as wind, inside and outside temperature differences (stack effect), and imbalance between supply and exhaust air systems;

"Installed interior lighting power": the power in watts of all permanently installed general, task, and furniture lighting systems and luminaires;

"Integrated part-load value (IPLV)": a single number figure of merit based on part-load EER, COP, or kW/ton expressing part-load efficiency for air-conditioning and heat pump equipment on the basis of weighted operation at various load capacities for the equipment;

"Kilovolt-ampere (kVA)": the product of the line current (amperes) times the nominal system voltage (kilovolts) times 1.732 for three-phase currents. For single – phase applications, kVA is the product of the line current (amperes) times the nominal system voltage (kilovolts);

"Kilowatt (kW)": the basic unit of electric power, equal to 1000W;

"Labeled": the equipment or materials to which a symbol or other identifying mark has been attached by the manufacturer indicating compliance with specified standard or performance in a specified manner;

"Lamp": a generic term for man-made light source often called bulb or tube;

"Lighted floor area, gross": the gross floor area of lighted spaces;

"Lighting, decorative": the lighting that is purely ornamental and installed for aesthetic effect. Decorative lighting shall not include general lighting;

"Lighting, emergency": lighting that provides illumination only when there is a general lighting failure;

"Lighting, general": lighting that provides a substantially uniform level of illumination throughout an area. General lighting shall not include decorative lighting or lighting that provides a dissimilar level of illumination to serve a specialized application or feature within such area;

"Lighting Efficacy (LE)": the quotient of the total lumens emitted from a lamp or lamp/ballast combination divided by the watts of input power, expressed in lumens per watt;

"Lighting system": a group of luminaires circuited or controlled to perform a specific function;

"Lighting power allowance":

- a. Interior lighting power allowance: The maximum lighting power in watts allowed for the interior of a building.
- b. Exterior lighting power allowance: The maximum lighting power in watts allowed for the exterior of a building;

"Lighting Power Density (LPD)": the maximum lighting power per unit of area of a building classification of space function

"Low-rise residential": the single-family houses, multi-family structures of three stories or fewer above grade, manufactured houses (mobile homes), and manufactured houses (modular);

"Luminaries": a complete lighting unit consisting of a lamp or lamps together with the housing designed to distribute the light, position and protect the lamps, and connect the lamps to the power supply;

"Manual (non-automatic)": requiring personal intervention for control. Non-automatic does not necessarily imply a manual controller, only that personal intervention is necessary;

"Manufacturer": the company engaged in the original production and assembly of products or equipment or a company that purchases such products and equipment manufactured in accordance with company specifications;

"Mean temperature": one-half the sum of the minimum daily temperature and maximum daily temperature;

"Mechanical cooling": reducing the temperature of a gas or liquid by using vapor compression, absorption and desiccant dehumidification combined with evaporative cooling, or another energy-driven thermodynamic cycle. Indirect or direct evaporative cooling alone is not considered mechanical cooling;

"Metering": the instruments that measure electric voltage, current, power etc;

"Multifamily high rise": multifamily structures of four or more stories above grade;

"Multifamily low-rise": multifamily structures of three or less stories above grade;

"Multiplication factor (M)": indicates the relative reduction in annual solar cooling load from overhangs and/or side fins with given projection factors, relative to the respective horizontal and vertical fenestration dimensions;

"Non-automatic": *See Manual*

"Occupant sensor": a device that detects the presence or absence of people within an area and causes lighting, equipment, or appliances to be regulated accordingly;

"Opaque": all areas in the building envelope, except fenestration and building service openings such as vents and grills;

"Orientation": the direction an envelope element faces and includes the direction of a vector perpendicular to and pointing away from the surface outside of the element. For vertical fenestration, the two categories are north-oriented and all other;

"Outdoor (outside) air": air that is outside the building envelope or is taken from the outside the building that has not been previously circulated through the building;

"Over current": any current in excess of the rated current of the equipment of the capacity of the conductor. It may result from overload, short circuit, or ground fault;

"Packaged Terminal Air Conditioner (PTAC)": a factory-selected wall sleeve and separate unencased combination of heating and cooling components, assemblies, or sections. It may include heating capability by hot water, steam, or electricity, and is intended for mounting through the wall to service a single room or zone;

"Party wall": a firewall on an interior lot line used or adapted for joint service between two buildings;

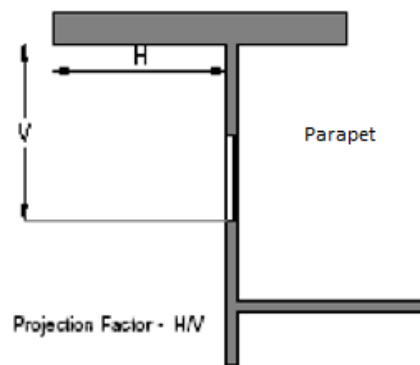
"Permanently installed": the equipment that is fixed in place and is not portable or movable;

"Plenum": a compartment or chamber to which one or more ducts are connected, that forms a part of the air distribution system and that is not used for occupancy or storage. A plenum often is formed in part or in total by portions for the building;

"Pool": any structure, basin, or tank containing an artificial body of water for swimming, diving, or recreational bathing. The terms include, but not limited to, swimming pool, whirlpool, spa, hot tub;

"Process load": the load on a building resulting from the consumption or release of process energy;

"Projection factor, overhang": the ratio of the horizontal depth of the external shading projection divided by the sum of the height of the fenestration and the distance from the top of the fenestration to the bottom of the farthest point of the external shading projection, in consistent units;



"Projection factor, side fin": the ratio of the horizontal depth of the external shading projection divided by the distance from the window jamb to the farthest point of the external shading projection, in consistent units;

"R-value (thermal resistance)": the reciprocal of the time rate of heat flow through a unit area induced by a unit temperature difference between two defined surfaces of material or construction under steady-state conditions. Units of R are $\text{m}^2\text{-}^\circ\text{C}/\text{W}$ ($\text{h-ft}^2\text{-}^\circ\text{F}/\text{Btu}$). For the prescriptive building envelope option, R-value is for the insulation alone and does not include building materials or air films;

"Readily accessible": capable of being reached quickly for operation, renewal, or inspections without requiring those to whom ready access is requisite to climb over or remove obstacles or to resort to portable ladders, chairs, etc. In public facilities, accessibility may be limited to certified personnel through locking covers or by placing equipment in locked rooms;

"Recirculating system": a domestic or service hot water distribution system that includes a close circulation circuit designed to maintain usage temperatures in hot water pipes near terminal devices (e.g., lavatory faucets, shower heads) in order to reduce the time required to obtain hot water when the terminal device valve is opened. The motive force for circulation is either natural (due to water density variations with temperature) or mechanical (recirculation pump);

"Reflectance": the ratio of the light reflected by a surface to the light incident upon it;

"Resistance, electric": the property of an electric circuit or of any object used as part of an electric circuit that determines for a given circuit the rate at which electric energy is converted into heat or radiant energy and that has a value such that the product of the resistance and the square of the current gives the rate of conversion of energy;

"Reset": automatic adjustment of the controller set point to higher or lower value;

"Residential": spaces in buildings used primarily for living and sleeping. Residential spaces include, but are not limited to, dwelling units, hotel/ motel guest rooms, dormitories, nursing homes, patient rooms in hospitals, lodging houses, fraternity/ sorority houses, hostels, prisons, and fire stations;

"Roof": the upper portion of the building envelope, including opaque areas and fenestration, that is horizontal or tilted at an angle of less than 60° from horizontal;

"Roof area, gross": the area of the roof measured from the exterior faces of walls or from the centerline of party walls;

"Service": the equipment for delivering energy from the supply or distribution system to the premises served;

"Service water heating": heating water for domestic or commercial purposes other than space heating and process requirements;

"Set point": the point at which the desired temperature ($^{\circ}\text{F}$) of the heated or cooled space is set;

"Shading Coefficient (SC)": the ratio of solar heat gain at normal incidence through glazing to that occurring through 3 mm (1/8 in) thick clear, double – strength glass. Shading coefficient, as used herein, does not include interior, exterior, or integral shading devices;

"Simulation program": a computer program that is capable of simulating the energy performance of building systems;

"Single-zone system": HVAC system serving a single HVAC zone;

"Site -recovered energy": waste energy recovered at the building site that is used to offset consumption of purchased fuel or electrical energy supplies;

"Skylight roof ratio (SRR)": the ratio of the total skylight area of the roof, measured to the out side of the frame, to the gross exterior roof;

"Slab-on-grade floor": the portion of a slab floor, of the building envelope that is in contact with ground and that is either above grade or is less than or equal to 24 in below the final elevation of the nearest exterior grade;

"Solar energy source": source of thermal, chemical, or electrical energy derived from direction conversion of incident solar radiation at the building site;

"Solar Heat Gain Coefficient (SHGC)": the ratio of the solar heat gain entering the space through the fenestration area to the incident solar radiation. Solar heat gain includes directly transmitted solar heat and absorbed solar radiation, which is then reradiated, conducted or convected into the space;

"Space": an enclosed space within a building. The classifications of spaces are as follows for the purpose of determining building envelope requirements:

- a. Conditioned space: a cooled space, heated space, or directly conditioned space.
- b. Semi- heated space: an enclosed space within a building that is heated by a heating system whose output capacity is greater or equal to 10.7 W/m^2 (3.4 Btu / h-ft^2) of floor area but is not a conditioned space.
- c. An enclosed space within a building that is not conditioned space or a semi-heated space. Crawlspace, attics, and parking garages with natural or mechanical ventilation are not considered enclosed spaces;

"Standard Design": a computer representation of a hypothetical design based on the actual proposed design as per appendix B. Whole Building Performance Method.

"Storey": a portion of a building that is between one finished floor level and the next higher finished floor level or the roof, provided, however, that a basement or cellar shall not be considered a story;

"System": a combination of equipment and auxiliary devices (e.g., controls, accessories, interconnecting means, and terminal elements) by which energy is transformed so it performs a specific function such as HVAC, service water heating, or lighting;

"System, existing": a system or systems previously installed in an existing building;

"Terminal": a device by which energy from a system is finally delivered, e.g., registers, diffusers, lighting fixtures, faucets, etc;

"Thermal block": a collection of one or more HVAC zones grouped together for simulation purposes. Spaces need not be contiguous to be combined within a single thermal block;

"Thermostat": an automatic control device used to maintain temperature at a fixed or adjustable set point;

"Tinted":(as applied to fenestration) bronze, green, or grey coloring that is integral with the glazing material. Tinting does not include surface applied films such as reflective coatings, applied either in the field or during the manufacturing process;

"Transformer": a piece of electrical equipment used to convert electric power from one voltage to another voltage;

"U-factor (Thermal Transmittance)": heat transmission in unit time through unit area of a material or construction and the boundary air films, induced by unit temperature difference between the environments on each side. Units of U are $W/m^2 \cdot ^\circ C$ (Btu/h $ft^2 \cdot ^\circ F$);

"Variable Air Volume (VAV) system": HVAC system that controls the dry bulb temperature within a space by varying the volumetric flow of heated or cooled supply air to the space;

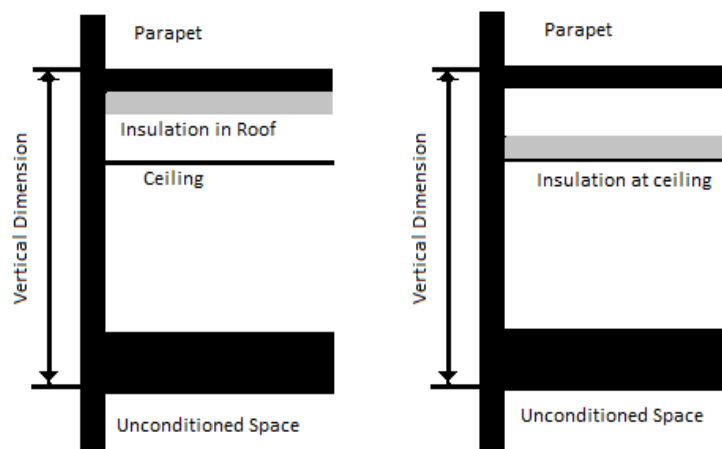
"Vent damper": a device intended for installation in the venting system or an individual, automatically operated, fossil fuel- fired appliance in the outlet or downstream of the appliance draft control device, which is designed to automatically open the venting system when the appliance is in operation and to automatically close off the venting system when the appliance is in standby or shutdown condition;

"Ventilation": the process of supplying or removing air by natural or mechanical measures to or from any space. Such air is not required to have been conditioned;

"Wall": the portion of the building envelope, including, opaque area and fenestration, that is vertical or tilted at an angle of 60° from horizontal or greater. This includes above and below grade walls, between floor spandrels, peripheral edges of floors, and foundation walls,

- a. **Wall, above grade**: a wall that is not below grade.
- b. **Wall, below grade**: that portion of a wall in the building envelope that is entirely below the finish grade and in contact with the ground;

"Wall area, gross": the overall area of a wall including openings such as windows and doors measured horizontally from outside surface to outside surface and measured vertically from the top of the floor to the top of the roof. If roof insulation is installed at the ceiling level rather than the roof, then the vertical measurement is made to the top of the ceiling (Note that clause 4.3.1 does not allow roof insulation to be located on a suspended ceiling with removable ceiling panels). The gross wall area includes the area between the ceiling and the floor for multi-story buildings;



"Water heater": vessel in which water is heated and is withdrawn for use external to the system;

"Window Wall Ratio (WWR)": is the ratio of vertical fenestration area to gross exterior wall area. Gross exterior wall area is measured horizontally from the exterior surface; it is measured vertically from the top of the floor to the bottom of the roof;

"Zone, HVAC": a space or group of space within a building with heating and cooling requirements that are sufficiently similar so that desired conditions (e.g. temperature) can be maintained throughout using a single sensor (e.g., thermostat or temperature sensor).

9.3 Abbreviations and Acronyms

AFUE	: Annual fuel utilization efficiency
ANSI	: American National Standards Institute
ARI	: Air-Conditioning and Refrigeration Institute
ASHRAE	: American Society of Heating, Refrigerating and Air-Conditioning Engineers
ASTM	: American Society for Testing and Materials
BIS	: Bureau of Indian Standards
Btu	: British thermal unit
Btu/h	: British thermal unit per hour
Btu/ft ² -°F	: British thermal unit per square foot degree Fahrenheit
Btu/h-ft ²	: British thermal unit per hour square foot
Btu/h-ft ⁰ F	: British thermal unit per hour per linear foot per degree Fahrenheit
Btu/h- ft ² -°F	: British thermal units per hour square foot per degree Fahrenheit
C	: Celsius
cfm	: Cubic feet per minute
cm	: Centimeter
COP	: Coefficient of Performance
DOE	: U.S Department of Energy
EER	: Energy Efficiency Ratio
EC Act 2001	: Energy Conservation Act 2001
EF	: Energy Factor
F	: Fahrenheit
Ft	: foot
h	: hour
HC	: Heat Capacity
h- ft ² °F/Btu	: Hour per square foot per degree Fahrenheit per British thermal unit
h-m ² -°C/W	: Hour per square meter per degree Celsius per Watt
hp	: Horsepower
HSPF	: Heating seasonal performance factor
HVAC	: Heating ,ventilation ,and Air Conditioning
I-P	: Inch Pound
in.	: inch
IPLV	: Integrated Part- Load Value
ISHRAE	: Indian Society of Heating Refrigeration & Air Conditioning Engineers
kVA	: kilovolt -ampere
kW	: kilowatt
kWh	: kilowatt- hour
LE	: Lighting efficacy
lin	: Linear
linft	: Linear foot
lin m	: Linear meter
lm	: lumen

LPD	: Lighting Power Density
m	: Meter
mm	: Millimeter
NAECA	: National Appliance Energy Conversation Act
PF	: Projection Factor
PTAC	: Packaged terminal air conditioner
R	: R-value (thermal resistance)
SC	: Shading Coefficient
SHGC	: Solar Heat Gain Coefficient
SL	: Standby Loss
VAV	: Variable air volume
VLT	: Visible light transmission
W	: Watt
W/ft ²	: Watts per square feet
W/ m ²	: Watts per square meter
W/m- ⁰ C	: Watts per lineal meter per degree Celsius
W/ m ² - ⁰ C	: Watts per square meter per degree Celsius
Wh	: Watt hour

10 Appendix B: Whole Building Performance Method

10.1 General

10.1.1 Scope

The whole building performance method is an alternative to the prescriptive requirements contained in clause 1 through clause 8 of this code. It applies for all building types covered by the code.

10.1.2 Compliance

A building complies with the whole building performance method when the estimated annual energy use of the proposed design is less than the standard design, even though it may not comply with the specific requirements of the prescriptive requirements in clause 1 through clause 7. The mandatory requirements of clause 1 through clause 8 (clause 4.2, clause 5.2, clause 6.2, clause 7.2 and clause 8.2) shall be satisfied with the whole building performance method.

10.1.3 Annual Energy Use

Annual energy use for the purposes of the whole building performance method shall be calculated in kilowatt-hours (kWh) of electricity use per year. Energy sources other than electricity which are used in the building shall be converted to kWh of electric energy at the rate of 0.75 kWh per mega Joule.

10.1.4 Trade- offs Limited to Building Permit

The whole building performance method may be used for building permit applications that include less than the whole building; however, any design parameters that are not part of the building permit application shall be identical for both the proposed design and the standard design. Future improvements to the building shall comply with both the mandatory and prescriptive requirements.

10.1.5 Documentation Requirements

Compliance shall be documented and submitted to the authority having jurisdiction. The information submitted shall include the following:

- a. The annual energy use for the proposed design and the standard design.
- b. A list of the energy –related building features in the proposed design that is different from the standard design.
- c. The input and output report (s) from the simulation program including a breakdown of energy usage by at least the following components : lights, internal equipment loads, service water heating equipment, space heating equipment, space cooling and heat rejection equipment, fans and other HVAC equipment (such as pumps). The output reports shall also show the amount of time any loads are not met by the HVAC system for both the proposed design and standard design.
- d. An explanation of any error messages noted in the simulation program output.

10.2 Simulation General Requirements:

10.2.1 Energy Simulation Program:

The simulation program shall be a computer –based program for the analysis of energy consumption in buildings and be approved by the authority having jurisdiction. The simulation program shall be as under:-

- a. Energy flows on an hourly basis for all 8,760 hours in the year;
- b. Hourly variations in occupancy, lighting power, miscellaneous equipment power, thermostat set points, and HVAC system operation, defined separately for each day of the week and holidays;
- c. Thermal mass effects;
- d. Ten or more thermal zones;
- e. Part-load and temperature dependent performance of heating and cooling equipment;
- f. Air –side and water-side economizers with integrated control; and
- g. All of the standard design characteristics specified in this chapter.

10.2.2 Climatic Data:

The simulation program shall use hourly values of climatic data, such as temperature and humidity from representative climatic data, for the city in which the proposed design is to be located. For cities or urban regions with several climatic data entries, and for locations where weather data are not available, the designer shall select available weather data that best represent the climate at the construction site.

10.2.3 Compliance calculations:

The proposed design and standard design shall be calculated using the following:

- a. Same simulation program,
- b. Same weather data, and
- c. Same building operation assumptions (thermostat setpoints, schedules, internal gains, occupant loads, etc).

10.3 Calculating the Energy Consumption of the Proposed Design and the Standard design

10.3.1 The simulation model for calculating the proposed design and the standard design shall be developed in accordance with the requirements in Table 9.1.

10.3.2 HVAC Systems:

The HVAC system type and related performance parameters for the standard design shall be determined as per table 10.1 and the following rules:-

- (a) Other Components: Components and parameters not listed in Table 10.2 or otherwise specifically addressed in this part shall be identical to those in the proposed design.(Exception to clause 10.3.2(a) where there are specific requirements in clause 5.2.2, the component efficiency in the standard design shall be adjusted to the lowest efficiency level allowed by the requirement for that component type).
- (b) All HVAC and service water heating equipment in the standard design shall be modeled at the minimum efficiency levels, both part load and full load, in accordance with clause 5.2.2.
- (c) Where efficiency ratings, such as EER and COP, include fan energy, the descriptor shall be broken down into its components so that supply fan energy can be modeled separately.
- (d) Minimum outdoor air ventilation rates shall be the same for both the standard design and the proposed design.
- (e) The equipment capacities for the standard design shall be sized proportionally to the capacities in the proposed design based on sizing runs; i.e., the ratio between the capacities used in the annual simulations and the capacities determined by the sizing runs shall be the same for both the proposed design and standard design. Unmet load hours for the proposed design shall not differ from unmet load hours for the standard design by more than 50 hours. The maximum number of unmet hours shall not exceed 300 for either case.

Table 10-1: Modeling Requirements for calculating proposed and standard

Case	Proposed Building	Standard Design
1. Design Model	(a) The simulation model of the proposed design shall be consistent with the design documents. Including proper accounting of fenestration and opaque envelope types and area; interior lighting power and controls; HVAC system types sizes, and controls and service water heating systems and controls (b) When the whole building performance method is applied to buildings in which energy related features have not yet been designed (e.g., a lighting system), those yet – to be designed features shall be described in the proposed design so that they minimally comply with applicable mandatory and	The standard design shall be developed by modifying the proposed design as described in this table. Except as specifically instructed in this table, all building systems and equipment shall be modeled identically in the standard design and proposed design.

	prescriptive requirements from clause 1 through clause 8	
2 Space Use Classification	The building type or space type classifications shall be chosen in accordance with clause 7.3.2 or 7.3.3. More than Same as proposed design one building type category may be used in a building if it is a mixed use facility.	Same as proposed design
3. Schedules	The schedules shall be typical of the proposed building type as determined by the designer and approved by the authority having jurisdiction.	Same as proposed design
4. Building Envelope	All components of the building envelope in the proposed design shall be modeled as shown on architectural drawings or as installed for existing building envelopes. Exceptions: The following building elements are permitted to differ from architectural drawings. (a) Any envelope assembly that covers less than 5% of the total area of that assembly type (e.g., exterior walls need not be separately described. If not separately described, the area of an envelope assembly must be added to the area of the adjacent assembly of that same type. (b) Exterior surfaces whose azimuth orientation and tilt differ by no more than 45 degrees and are otherwise the same may be described as either a single surface or by using multipliers. (c) For exterior roofs other than roofs with ventilated attics, the reflectance and emittance of the roof surface shall be modeled. The reflectance and emittance shall be tested in accordance with clause 4.3.1.1 (d) (d) Manually operated fenestration shading devices such as blinds or shades shall not be modeled Permanent shading devices such as fins, overhangs, and lightshelves shall be modeled.	The standard design shall have identical conditioned floor area and identical exterior dimensions and orientations as the proposed design except as noted in (a), (b), (c) and (d) below. a) Orientation, The baseline building performance shall be generated by simulating the building with its actual orientation and again after rotating the entire building 90,180, 270 degrees, then averaging the results. The building shall be modeled so that it does not shade itself. b) Opaque assemblies such as roof, floors, doors and walls shall be modeled as having the same heat capacity as the proposed design but with the minimum Ufactor required in clause 4.3.1 and clause 4.3.2. c) Fenestration – Fenestration areas shall equal that in the proposed design or 40% of gross above grade wall area, whichever is smaller, and shall be distributed uniformly in horizontal bands across the four orientations. No shading projections are to be modeled; fenestration shall be assumed to be flush with the exterior wall or roof. Manually operated fenestration shading devices such as blinds or shades shall not be modeled. Fenestration U-factor shall be the minimum required for the climate, and the solar heat gain coefficient shall be the maximum allowed for the climate and orientation. 64

	Lighting power in the proposed design shall be determined as follows: a. Where a complete lighting system exists, the actual lighting power shall be used in the model. b. Where a lighting system has been designed lighting power shall be determined in accordance with either clause 7.3.2 or clause 7.3.3. c. Where no lighting exists or is specified, lighting power shall be determined in accordance with the clause 7.3.2 for the appropriate building type. d. Lighting system power shall include all lighting system components shown or provided for on plans (including lamps ballasts, task fixtures and furniture mounted fixtures).	d) Roof albedo. All roof surfaces shall be modeled with a reflectivity of 0.30. Lighting power in the standard design shall be determined using the same categorization procedure (building area or space function) and categories as the proposed design with lighting power set equal to the maximum allowed for the corresponding method and category in either clause 7.3.2 or clause 7.3.3. Power for fixtures not included in the lighting power density calculation shall be modeled identically in the proposed design and standard design. Lighting controls shall be the minimum required.
6. HVAC Systems	The HVAC system type and all related performance parameters, such as equipment capacities and efficiencies, in the proposed design shall be determined as follows: a) Where a complete HVAC System exists, the model shall reflect the actual system type using actual component capacities and efficiencies. b) Where an HVAC System has been designed, the HVAC model shall be consistent with design documents. Mechanical equipment efficiencies shall be adjusted from actual design conditions to the standard rating conditions specified in clause 5, if required by the simulation model, c) Where no heating system exists or no heating system has been specified, the heating system shall be modeled as electric resistance. The system characteristics shall be identical to the system modeled in the standard design. d) Where no cooling system exists or no cooling system has been specified, the cooling system shall be modeled as an air cooled single zone system, one unit per thermal block. The system characteristics shall be identical to the system modeled in the standard	The HVAC system type and related performance parameters for the standard design shall be determined from Table 10.2: HVAC Systems Map. Equipment performance shall meet the requirements of clause 4.

	design.	
7.Service water	hot The service hot water system type and all related performance parameters, such as equipment capacities and efficiencies, in the proposed design shall be determined as follows: a. Where a complete service hot water system exists, the model shall reflect the actual system type using actual component capacities and efficiencies. b. Where a service hot water system has been designed, the service hot water model shall be consistent with design documents. c. Where no service hot water system exists or is specified no service hot water heating shall be modeled.	The water heating shall be of the same type of the proposed design. For residential facilities, hotels and hospitals the standard design shall have a solar system capable of meeting 20% of the design load. Systems shall meet the efficiency requirements of clause 6.2.2 the pipe insulation requirements of clause 6.2.4 and incorporate heat traps in accordance with clause 6.2.5.
8. Miscellaneous Loads	Receptacle, motor and process loads shall be modeled and estimated based on the building type or space type category. These loads shall be included simulations of the building and shall be included when calculating the standard design and proposed design All end use load components within and associated with the building shall be modeled unless specifically excluded but not limited to, exhaust fans, parking garage ventilation fans, exterior building lighting swimming pool heater s and pumps elevators and escalators, refrigeration equipment and cooking equipment.	Receptacle motor and process loads shall be modeled the same as the proposed design. The water heating system shall be of the same type of the proposed design
9. Modeling Limitations to the Simulation program	If the simulation program cannot model a component or system included in the proposed design, one of the following methods shall be used with the approval of the authority having jurisdiction a. ignore the component if the energy impact on the trade offs, being considered is not significant. b. Model the component substituting a thermodynamically similar component model. c. Model the HVAC system components or systems using the standard design’s HVAC system in accordance with section 6 of this table. Whichever method is selected, the component shall be modeled identically for both the proposed design and standard design models.	Same as proposed design.

Table 10-2: HVAC Systems Map

		Non Residential		
		Less than 4 floors and less than 7,500 m ² conditioned area	4 or 5 floors and less than 7,500 m ² or 5 floors or less and 7,500-15,000 m ² conditioned area	More than 5 floors or more than 15,000 m ² conditioned area
Code	PTAC	PSZ	RHFS	RHFS
System type	Packaged terminal air conditioner	Packaged rooftop air conditioner	Central cooling plant with constant volume AHU for each zone	Central cooling plant with variable volume AHU for each zone
Fan control	Constant volume	Constant volume	Constant volume air handler for each zone	Variable volume air handler
Cooling type	Direct expansion	Direct expansion	Chilled water*	Chilled water*
Heating type	Electric resistance	Electric resistance	Electric resistance	Electric resistance
*If the proposed building has an air cooled chiller/system then the budget building shall have Air cooled chiller otherwise the budget case shall have water cooled centrifugal chillers. If the building has a mix of Air and Water cooled chillers then, the baseline building shall have the mix of air and water cooled chillers in the same proportion.				
Chiller Efficiencies shall be as per Table 5.1.				

11 Appendix C: Default Values for Typical Constructions

11.1 Procedure for Determining Fenestration Product U-Factor and Solar Heat Gain Coefficient:

Clause 4.2.1.1 and clause 4.2.1.2 require that U-factors and solar heat gain coefficient (SHGC) be determined for the overall fenestration product (including the sash and frame) in accordance with ISO 15099. The building envelope trade off option in clause 4.4 requires the use of visible light transmittance (VLT).

In several cases, ISO 15099 suggests that individual national standards will need to be more specific and in other cases the ISO document gives users the choice of two options. This part clarifies these specific issues as they are to be implemented for this code:

- (a) clause 4.1 of ISO 15099: For calculating the overall U- factor , ISO 15099 offers a choice between the linear thermal transmittance (4.1.2) and the area weighted method (4.1.3). The area weighted method (4.1.3) shall be used.
- (b) clause 4.2.2 of ISO 15099: Frame and divider SHGC’s shall be calculated in accordance with clause 4.2.2.
- (c) clause 6.4 of ISO 15099 refers the issue of material properties to national standards. Material conductivities and emissivities shall be determined in accordance with Indian standards.

- (d) clause 7 of ISO 15099 on shading systems is currently excluded.
- (e) clause 8.2 of ISO 15099 addresses environmental conditions. The following are defined for India:

For U factor calculations:

$$T_{in} = 24^{\circ}\text{C}$$

$$T_{out} = 32^{\circ}\text{C}$$

$$V = 3.35 \text{ m/s}$$

$$T_{/m, out} = T_{out}$$

$$T_{/m, in} = T_{in}$$

$$I_s = 0 \text{ W/m}^2$$

For SHGC calculations:

$$T_{in} = 24^{\circ}\text{C}$$

$$T_{out} = 32^{\circ}\text{C}$$

$$V = 2.75 \text{ m/s}$$

$$T_{/m, out} = T_{out}$$

$$T_{/m, in} = T_{in}$$

$$I_s = 783 \text{ W/m}^2$$

- (f) clause 8.3 of ISO 15099 addresses convective film coefficients on the interior and exterior of the Window product in clause 8.3.1 of ISO 15099, simulations shall use the heat transfer coefficient based on the center of glass temperature and the entire window height ; this film coefficient shall be used on all indoor surfaces,, including frame sections. In clause 8.3.2 of ISO 15099, the formula from this section shall be applied to all outdoor exposed surfaces.
- (g) clause 8.4.2 of ISO 15099 presents two possible approaches for incorporating the impacts of self-viewing surfaces on interior radiative heat transfer calculations. Products shall use the method in clause 8.4.2.1 of ISO 15099 (Two-Dimensional Element to Element View Factor Based Radiation Heat Transfer Calculation). The alternate approach in clause 8.4.3 of ISO 15099 shall not be used.

11.2 Default U-Factors and Solar Heat Gain Coefficients for Unrated Fenestration Products:

All fenestration with U-factors, SHGC, or visible light transmittance determined, certified, and labeled in accordance ISO 15099 shall be assigned those values

11.2.1 Unrated vertical fenestration:

Unlabeled vertical fenestration, both operable and fixed shall be assigned the U factors, SHGC s, and visible light transmittances in Table 11.1.

Table 11-1: Defaults for Unrated Vertical Fenestration
(Overall Assembly including the Sash and Frame)

Frame type	Glazing Type	Clear Glass			Tinted Glass		
		U factor (W/m ² ·°C)	SHGC	VLT	U factor (W/m ² ·°C)	SHGC	VLT
All frame types	Single Glazing	7.1	0.82	0.76	7.1	0.70	0.58
Wood vinyl or fiber glass Frame	Double Glazing	3.3	0.59	0.64	3.4	0.42	0.39
Metal and Other frame type	Double Glazing	5.1	0.68	0.66	5.1	0.50	0.40

11.2.2 Unrated Sloped Glazing and Skylights:

Unrated sloped glazing and skylights, both operable and fixed shall be assigned the SHGCs and visible light transmittances in Table 11.1. To determine the default U-factor for unrated sloped glazing and skylights without a curb multiply the values in Table 11.1 by 1.2. To determine the default U factor for unrated skylights on a curb, multiply the values in Table 11.1 by 1.6.

11.3 Typical Roof constructions:

For calculating the overall U-factor of a typical roof construction, the U-factor from the typical wall construction type and effective U –factor for insulation shall be combined according to the following equation:

$$U_{Total\ Roof} = \frac{1}{\frac{1}{U_{Typical\ Roof}} + \frac{1}{U_{Typical\ Insulation}}}$$

Where

- U_{Total Roof}

U_{Typical Roof}

U_{Typical Insulation}
- Total U factor of the roof with insulation

U-factor of the roof

U-factor of the effective insulation from table 11.2

Table 11-2: Defaults for Effective U-factor for Exterior Insulation Layers

Thickness	R-Value	U-Factor(W/m ² ·°k)
15mm(0.5")	0.70 (4)	1.420
20 mm(0.75")	1.06 (6)	0.946
25 mm (1.0")	1.41 (8)	0.710
40 mm(1.5")	2.11 (12)	0.568
50 mm(2.0")	2.82 (16)	0.406
65 mm (2.5")	3.52 (20)	0.284
75 mm(3.0")	3.70 (21)	0.270

11.4 Typical Wall Constructions:

For calculating the overall U-factor of a typical wall construction, the U-factors from the typical wall construction type and effective U -factor for insulation shall be combined according to the following equation:

$$U_{Total\ Wall} = \frac{1}{\frac{1}{U_{Typical\ Wall}} + \frac{1}{U_{Typical\ Insulation}}}$$

Vapour seal, 2 layers of mopped 0.73kg/m ² felt.....	--	--	47.4	--	0.21	--
Vapour seal, plastic film	--	--	--	--	Negl.	--
FINISH FLOORING MATERIALS						
Carpet and fibrous pad.....	-	-	2.73	--	0.37	1.42
Carpet and rubber pad.....	--	--	4.60	--	0.22	1.38
Cork tile.....3.2mm	--	--	20.4	--	0.049	2.01
Terrazzo.....25mm	--	--	71.0	--	0.014	0.80
Tile – asphalt linoleum, vinyl, rubber	-	-	113.6	--	0.009	1.26
Vinyl asbestos.....	-	-	--	-	--	1.01
Ceramic	-	--	--	--	--	0.80
Wood, hardwood finish.....19mm	--	-	8.35	--	0.12	--
INSULATING MATERIALS						
Blanket and batt ^{f,g} Mineral fiber fibrous form processed From rock, slag, or glass						
Approx. 75-100mm.....	6.4-32	--	0.52	--	1.94	--
Approx. 90mm.....	6.4-32	--	0.44	--	2.29	--
Approx. 90mm.....	19-26	--	0.38	--	2.63	--
Approx. 140-165mm.....	6.4-32	--	0.30	--	3.32	--
Approx. 140mm.....	10-16	--	0.27	--	3.67	--
Approx. 150-190mm	6.4-32	-	0.26	-	3.91	--
Approx. 210-250mm.....	6.4-32	--	0.19	--	5.34	--
Approx. 250-330mm.....	6.4-32	--	0.15	--	6.77	--
Board and slabs						
cellular glass.....	136	0.050	--	19.8	--	0.75
Glass fiber, organic bonded.....	64-140	0.036	--	27.7	--	0.96
Expanded perlite organic bonded	16	0.052	--	19.3	--	1.26
Expanded rubber (rigid).....	72	0.032	--	31.6	--	1.68
Expanded polystyrene extruded (Smooth skin surface) CFC -12 Exp).	29-56					
(Expanded polystyrene, extruded (smooth skin surface) (HCFC- 142b Exp.) ^h	29-56	0.029	--	34.7	--	1.21
Expanded polystyrene, molded beads.....	16	0.037	--	26.7	--	--
	20	0.036	--	27.7	--	--
	24	0.035	--	28.9	--	--
	28	0.035	--	28.9	--	--
	32	0.033	--	30.2	--	--
Cellular polyurethane/ polyisocyanurate ⁱ (CFC.11 Exp.) (unfaced).....	24	0.023-0.026	--	43.3-38.5	--	1.59
Cellular polyisocyanurate (CFC-11 Exp.) (gas- permeable facers)...	24-40	0.023-0.026	--	43.3-38.5	--	0.92
Cellular polyisocyanurate (CFC 11 exp) (gas impermeable facers)....	32	0.020	--	48.8	--	0.92
Cellular phenolic (closed cell). (CFC- 11, CFC 113 exp.) ^k	32	0.017	--	56.8	--	--
Cellular Phenolic (open cell).....	29-35	0.033	--	30.5	--	--
Mineral fiber with resin binder.....	240	0.042	--	23.9	--	0.71
Mineral fiberboard wet felted Core or roof insulation	260-270	0-.049	--	20.4	--	
Acoustical tile ^l	290	0.050	--	19.8	--	0.80
Acoustical tile ^l	340	0.053	--	18.7	--	
Mineral fiberboard wet molded Acoustical tile ^l	370	0.060	--	16.5	--	0.59

Brick , fired clay.....	2400	1.21-1.47	--	0.83-0.68	--	--
	2240	1.07-1.30	--	0.94-0.77	--	--
	2080	0.92-1.12	--	1.08-0.89	--	--
	1920	0.81-0.98	--	1.24-1.02	--	0.79
	1760	0.71-0.85	--	1.42-1.18	--	--
	1600	0.61-0.74	--	1.65-1.36	--	--
	1440	-0.52-0.62	--	1.93-1.61	--	--
	1280	0.43-0.53	--	2.31-1.87	--	--
	1120	0.36-0.45	--	2.77-2.23	--	--
Clay tile, hollow				-		
1 cell deep.....75mm	-	-	7.10	-	0.14	0.88
1 cell deep.....100mm	-	-	5.11	-	0.20	-
2 cells deep.....150mm.	-	-	3.75	-	0.27	-
2 cells deep.....200mm	-	-	3.07	-	0.33	-
2 cells deep.....250mm	-	-	2.56	-	0.39	-
3 cells deep.....300mm	-	-	2.27	-	0.44	-
Concrete blocks ^{n,o}				-		
Limestone aggregate				-		
200mm, 16.3kg, 2210 kg/m ³	-	-	-	-	-	-
concrete, 2 cores.....						
same with perlite filled cores.....	-	-	2.73	-	0.37	-
300mm, 25kg, 2210kg/m ³ concrete, 2	-	-	-	-	-	-
cores.....						
Same with perlite filled	-	-	1.53	-	0.65	-
cores.....						
Normal mass aggregate (sand and				-		-
gravel) 200mm						
15-16 kg, 2020-2180 kg/m ³ concrete, 2	-	-	5.1-5.8	-	0.20-0.17	0.92
or 3 cores.....						
same with perlite filled	-	-	2.84	-	0.35	-
cores.....						
Same with vermiculite filled cores.....	-	-	3.0-4.1	-	0.34-0.24	-
300mm, 22.7kg, 2000kg/m ³ concrete, 2	-	-	4.60	-	0.217	0.92
cores						
Medium mass aggregate	-	-	3.3-4.4	-	0.30-0.22	-
(combinations of normal and low mass						
aggregate) 200mm, 12-13 kg, 1550-						
1790 kg/m ³ concrete, 2 or 3 cores						
Same with perlite filled cores.....	-	-	1.5-2.5	-	0.65-0.41	-
Same with vermiculite filled	-	-	1.70	-	0.58	-
cores.....						
Same with molded EPS (beads) filled	-	-	1.82	-	0.56	-
cores						
Same with molded EPS inserts in	-	-	2.10	-	0.47	-
cores.						
Low mass aggregate (expanded	-	-	3.0-3.5	-	0.34-0.29	-
Shale, clay, slate or slag, pumice) 150						
mm 7.3-7.7 kg, 1360-1390 kg/m ³						
concrete, 2 or 3 cores						
Same with perlite filled	-	-	1.36	-	0.74	-
cores.....						
Same with vermiculite Filled	-	-	1.87	-	0.53	-
cores.....						
200mm, 8.6-10.0mm, 1150-1380	-	-	1.8-3.1	-	0.56-0.33	0.88
kg/m ³ concrete						
Same with perlite filled cores.....	-	-	0.9-1.3	-	1.20-0.77	-
Same with vermiculite Filled cores	-	-	1.1-1.5	-	0.93-0.69	-
Same with molded EPS (beads) filled	-	-	1.19	-	0.85	-
cores						
Same with UF foam filled	-	-	1.25	-	0.79	-
cores.....						
Same with molded EPS inserts in	-	-	1.65	-	0.62	-
cores.						
300mm, 14.5-16.3 kg, 1280-1440	-	-	2.2-2.5	-	0.46-0.40	-
kg/m ³ concrete, 2 or 3 cores...						

Same with perlite filled cores.....	-	-	0.6-0.9	-	1.6-1.1	-
Same with vermiculite Filled cores.....	-	-	0.97	-	1.0	-
Stone lime, or sand						
Quartzitic and sandstone	2880	10.4	-	0.10	-	-
	2560	6.2	-	0.16	-	-
	2240	3.5	-	0.29	-	-
	1920	1.9	-	0.53	-	0.79
Calcite, dolomite, limestone marble and granite	2880	4.3	-	0.23	-	-
	2560	3.2	-	0.32	-	-
	2240	2.3	-	0.43	-	-
	1920	1.6	-	0.63	-	0.79
	1600	1.1	-	0.90	-	-
Gypsum partition tile						
75 by 300 by 760mm, solid.....	-	-	4.50	-	0.222	0.79
75 by 300 by 760mm, 4 cells	-	-	4.20	-	0.238	-
100 by 300 by 760mm, 3 cells	-	-	3.40	-	0.294	-
Concretes ^o						
Sand and gravel or stone aggregate concretes (concretes with more than 50% quartz or quartzite sand have Conductivities in the higher end of the range)	2400	1.4-2.9	-	0.69-0.35	-	-=
	2240	1.3-2.6	-	0.77-0.39	-	0.8-1.0
	2080	1.0-1.9	-	0.99-0.53	-	-
Limestone concretes.....	2240	1.60	-	0.62	-	-
	1920	1.14	-	0.88	-	-
	1600	0.79	-	1.26	-	-
Gypsum- fiber concrete (87.5% gypsum, 12.5% wood chips)	816	0.24	-	4.18	-	0.88
Cement/lime, mortar and stucco.....	1920	1.40	-	0.71	-	-
	1600	0.97	-	1.04	-	-
	1280	0.65	-	1.54	-	-
Lightweight aggregate concretes			-		-	
Expanded shale, clay or slate, expanded slags:	1920	0.9-1.3	-	1.08-0.76	-	
cinders; Pumice (with density up to 1600 kg/m ³)	1600	0.68-0.89	-	1.48-1.12	-	0.84
and scoria (sanded concretes have conductivities in the higher end of the range).....	1280	0.48-0.59	-	2.10-1.69	-	0.84
	960	0.30-0.36	-	3.30-2.77	-	-
	640	0.18	-	5.40	-	-
Perlite. Vermiculite and polystyrene beads	800	0.26-0.27	-	3.81-3.68	-	-
	640	0.20-0.22	-	4.92-4.65	-	0.63-0.96
	480	0.16	-	6.31	-	-
	320	0.12	-	8.67	-	-
Foam concretes	1920	0.75	-	1.32	-	-
	1600	0.60	-	1.66	-	-
	1280	0.44	-	2.29	-	-
	1120	0.36	-	2.77	-	-
Foam concretes and cellular concretes	960	0.30	-	3.33	-	-
	640	0.20	-	4.92	-	-
	320	0.12	-	8.67	-	-
<i>SIDING MATERIALS (on flat surface)</i>						
<i>Shingles</i>						
Asbestos- cement	1900	-	27.0	-	0.037	-
Wood 400mm, 190mm exposure	-	-	6.53	-	0.15	1.30
Wood double 400mm ,300mm exposure	-	-	4.77	-	0.21	1.17

Wood plus insul backer board, 8mm	-	-	4.03	-	0.25	1.30
.....				-		
<i>Siding</i>						
Asbestos –cement 6.4mm., lapped	-	-	27.0	-	0.037	1.01
.....						
Asphalt roll siding	-	-	36.9	-	0.026	1.47
Asphalt insulating siding (12.7mm bed)	-	-	3.92	-	0.26	1.47
Hardboard siding 11mm	-	-	8.46	-	0.12	1.17
Wood drop, 20 by 200mm.....	-	-	7.21	-	0.14	1.17
Wood bevel 13 by 200mm lapped	-	-	6.98	-	0.14	1.17
.....						
Wood bevel 19 by 250mm lapped.....	-	-	5.40	-	0.18	1.17
Wood plywood 9.5mm., lapped	-	-	9.60	-	0.10	1.22
.....						
Aluminum steel or vinyl ^{p,q} over sheathing				-		
.....						
Hollow backed Insulating board backed 9.5mm nominal.....	-	-	9.31	-	0.11	1.22 ^q
9.5mm foil backed.....	-	-	3.12	-	0.32	1.34
.....	-	-	1.93	-	0.52	-
Architectural (soda lime float) glass WOODS (12% moisture content) ^{e,f}	-	-	56.8	-	0.018	0.84
<i>Hard Woods</i>						1.63 ^s
Oak.....	659-749	0.16-0.18	-	6.2-5.5	-	-
Birch	682-726	0.167-0.176	-	6.0-5.7	-	-
Maple.....	637-704	0.157-0.171	-	6.4-5.8	-	-
Ash.....	614-670	0.153-0.164	-	6.5-6.1	-	-
<i>Softwoods</i>						1.63 ^s
Southern Pine.....	570-659	0.144-0.161	-	6.9-6.2	-	-
Douglas Fir- Larch.....	536-581	0.137-0.145	-	7.3-6.9	-	-
Southern Cypress.....	502-514	0.130-0.132	-	7.7-7.6	-	-
Hem –Fir, Spruce- Pine-Fir....	392-502	0.107-0.130	-	9.3-7.7	-	-
West Coast Woods, Cedars.....	347-502	0.098-0.130	-	10.3=7.7	-	-
California Redwood.....	392-448	0.107-0.118	-	9.4-8.5	-	-

For referencing a,b,c etc of the above table; refer to the notes on next pages

*NOTES OF TABLE 11.4:

^aValues are for a mean temperature of 24°C. Representative values for dry materials are intended as design (not specification) values for materials in normal use. Thermal values of insulating materials may differ from design values depending on their properties (e.g., density and moisture content, orientation, etc.) and variability experienced during manufacture. For properties of a particular product, use the value supplied by the manufacturer or by unbiased tests.

^b The symbol λ is also used to represent thermal conductivity.

^c Resistance values are the reciprocals of C before rounding off C to two decimal places.

^dLewis (1967).

^e U.S. Department of Agriculture (1974).

^fDoes not include paper backing and facing, if any. Where insulation forms a boundary (reflective or otherwise) of an airspace, see Tables 2 and 3 for the insulating value of an airspace with the appropriate effective emittance and temperature conditions of the space. Conductivity varies with fiber diameter. (See Chapter 23, Factors Affecting Thermal Performance). Batt, blanket, and loose-fill mineral fiber insulations are manufactured to achieve specified R-values, the most common of which are listed in the table. Due to differences in manufacturing processes and materials, the product thicknesses, densities, and thermal conductivities vary over considerable ranges for a specified R-value.

^hThis material is relatively new and data are based on limited testing.

ⁱFor additional information, see Society of Plastics Engineers (SPI) Bulletin U108. Values are for aged, unfaced board stock. For change in conductivity with age of expanded polyurethane/ polyisocyanurate, see Chapter 23, Factors Affecting Thermal Performance.

^j Values are for aged products with gas-impermeable facers on the two major surfaces. An aluminium foil facer of 25 µm thickness or greater is generally considered impermeable to gases. For change in conductivity with age of expanded polyisocyanurate, see Chapter 23, Factors Affecting Thermal Performance, and SPI Bulletin U108.

^kCellular phenolic insulation may no longer be manufactured. The thermal conductivity and resistance values do not represent aged insulation, which may have a higher thermal conductivity and lower thermal resistance. Insulating values of acoustical tile vary, depending on density of the board and on type, size, and depth of perforations.

^m Cavity is framed with 20mm wood furring strips. Caution should be used in applying this value for other framing materials. The reported value was derived from tests and applies to the reflective path only. The effect of studs or furring strips must be included in determining the overall performance of the wall.

ⁿValues for fully grouted block may be approximated using values for concrete with a similar unit density.

^oValues for concrete block and concrete are at moisture contents representative of normal use.

^pValues for metal or vinyl siding applied over flat surfaces vary widely, depending on amount of ventilation of airspace beneath the siding; whether airspace is reflective or non reflective; and on thickness, type, and application of insulating backing-board used. Values are averages for use as design guides, and were obtained from several guarded hot box tests (ASTM C 236) or calibrated hot box (ASTM C 976) on hollow-backed types and types made using backing of wood fiber, foamed plastic, and glass fiber. Departures of +50% or more from these values may occur.

^q Vinyl specific heat= 1.0 kJ/(kg.K)

^rSee Adams (1971), MacLean (1941), and Wilkes (1979). The conductivity values listed are for heat transfer across the grain. The thermal conductivity of wood varies linearly with the density, and the density ranges listed are those normally found for the wood species given. If the density of the wood species is not known, use the mean conductivity value. For extrapolation to other moisture contents, the following empirical equation developed by Wilkes (1979) may be used:

$$k = 0.7494 + \frac{(4.895 \times 10^{-3} + 1.503 \times 10^{-4}M)p}{1 + 0.01M}$$

Where p is density of the moist wood in kg/m³, and M is the moisture content in percent.

^sFrom Wilkes (1979), an empirical equation for the specific heat of moist wood at 24°C is as follows:

$$C_p = 0.1442 \times \frac{(0.299 + 0.01M)}{(1+0.01M)} + DC_p$$

Where D_{cp} accounts for the heat of absorption and is denoted by $DC_p = M(0.008037 - 1.325 \times 10^{-4}M)$

Where M is the moisture content in percent by mass.

Assuming parallel heat flow only, the calculated resistance is higher than that calculated on the assumption of isothermal planes. The actual resistance generally is some value between the two calculated values. In the absence of test values, examination of the construction usually reveals whether a value closer to the higher or lower calculated R -value should be used. Generally, if the construction contains a layer in which lateral conduction is high compared with transmittance through the construction, the calculation with isothermal planes should be used.

If the construction has no layer of high lateral conductance, the parallel heat flow calculation should be used. Hot box tests of insulated and uninsulated masonry walls constructed with block of conventional configuration show that thermal resistances calculated using the isothermal planes heat flow method agree well with measured values (Van Greem 1985, Valore 1980, Shu et al. 1979). Neglecting horizontal mortar joints in conventional block can result in thermal transmittance values up to 16% lower than actual, depending on the density and thermal properties of the masonry, and 1 to 6% lower, depending on the core insulation material (Van Greem 1985, McIntyre 1984). For aerated concrete block walls, other solid masonry, and multicore block walls with full mortar joints, neglecting mortar joints can cause errors in R -values up to 40% (Valore 1988). Horizontal mortar joints usually found in concrete block wall construction are neglected in Example 2.

Constructions Containing Metal

Curtain and metal stud-wall constructions often include metallic and other thermal bridges, which can significantly reduce the thermal resistance. However, the capacity of the adjacent facing materials to transmit heat transversely to the metal is limited, and some contact resistance between all materials in contact limits the reduction. Contact resistances in building structures are only 0.01 to 0.1 $K \cdot m^2/W$ —too small to be of concern in many cases. However, the contact resistances of steel framing members may be important. Also, in many cases (as illustrated in Example 3), the area of metal in contact with the facing greatly exceeds the thickness of the metal, which mitigates the contact resistance effects.

Thermal characteristics for panels of sandwich construction can be computed by combining the thermal resistances of the various layers. R -values for the assembled sections should be determined on a representative sample by using a hot box method. If the sample is a wall section with air cavities on both sides of fibrous insulation, the sample must be of representative height since convective airflow can contribute significantly to heat flow through the test section. Computer modeling can also be useful, but all heat transfer mechanisms must be considered. In Example 3, the metal member is only 0.5 mm thick, but it is in contact with adjacent facings over a 32mm-wide area. The steel member is 90 mm deep, has a thermal resistance of approximately 0.0019 $K \cdot m^2/W$, and is virtually isothermal. The calculation involves careful selection of the appropriate thickness for the steel member. If the member is assumed to be 0.5 mm thick, the fact that the flange transmits heat to the adjacent facing is ignored, and the heat flow through the steel is underestimated. If the member is assumed to be 32 mm thick, the heat flow through the steel is overestimated. In Example 3, the

steel member behaves in much the same way as a rectangular member 32 mm thick and 90 mm deep.

12 Appendix D: Building Envelope Tradeoff Method

12.1 The Envelope Performance Factor

12.1.1 The envelope performance factor shall be calculated using the following equations

Equation 12-1: $EPF_{Total} = EPF_{Roof} + EPF_{Wall} + EPF_{Fenest}$

Where

$$EPF_{Roof} = c_{Roof} \sum_{s=1}^n U_s A_s$$
$$EPF_{Wall} = c_{Wall,Mass} \sum_{s=1}^n U_s A_s + c_{Wall,Other} \sum_{s=1}^n U_s A_s$$
$$EPF_{Fenest} = c_{1Fenest,North} \sum_{w=1}^n SHGC_w M_w A_w + c_{2Fenest,North} \sum_{w=1}^n U_w A_w +$$
$$c_{1Fenest,NonNorth} \sum_{w=1}^n SHGC_w M_w A_w + c_{2Fenest,NonNorth} \sum_{w=1}^n U_w A_w +$$
$$c_{1Fenest,Skylight} \sum_{s=1}^n SHGC_s A_s + c_{2Fenest,Skylight} \sum_{s=1}^n U_s A_s$$

Where

EPF _{Roof}	Envelope performance factor for roofs. Other subscripts include walls and fenestration.
As, Aw	The area of a specific envelope component referenced by the subscript "s" or for windows the subscript "w".
SHGC _w	The solar heat gain coefficient for windows (w). SHGCs refer to skylights.
M _w	A multiplier for the window SHGC that depends on the projection factor of an overhang or sidefin.
Us	The U-factor for the envelope component referenced by the subscript "s".
C _{Roof}	A coefficient for the "Roof" class of construction.
C _{Wall}	A coefficient of the "Wall"
C _{1 Fenest}	A coefficient for the " Fenestration 1"
C _{2 Fenest}	A coefficient for the " Fenestration 2"

Values of "c" are taken from Table 12-1 through Table 12-5 for each class of construction.

Table 12-1: Envelope Performance Factor Coefficients – Composite Climate
(Under review)

	Daytime Occupancy		24-Hour Occupancy	
	U-factor	SHGC	U-factor	SHGC
Mass Walls	6.01	-	13.85	-
Curtain Walls, Other	15.72	-	20.48	-
Roofs	11.93	-	24.67	-
North Windows	-1.75	40.65	-4.56	58.15
Non-North Windows	-1.25	54.51	0.68	86.57
Skylights	-96.35	311.71	-294.66	918.77

Table 12-2: Envelope Performance Factor Coefficients – Hot Dry Climate
(Under review)

	Daytime Occupancy		24-Hour Occupancy	
	U-factor	SHGC	U-factor	SHGC
Mass Walls	5.48	-	15.01	-
Curtain Walls, Other	6.38	-	22.06	-
Roofs	11.14	-	25.98	-
North Windows	-2.40	36.57	-1.49	56.09
Non-North Windows	-1.86	46.79	1.187	81.79
Skylights	-96.27	309.33	-295.81	923.01

Table 12-3: Envelope Performance Factor Coefficients – Hot Humid Climate
(Under review)

	Daytime Occupancy		24-Hour Occupancy	
	U-factor	SHGC	U-factor	SHGC
Mass Walls	6.42	-	9.60	-
Curtain Walls, Other	14.77	-	19.71	-
Roofs	9.86	-	14.11	-
North Windows	-1.58	34.95	-7.29	64.19
Non-North Windows	-1.00	43.09	-6.48	76.83
Skylights	-96.11	305.45	-295.45	893.55

Table 12-4: Envelope Performance Factor Coefficients – Moderate Climate
(Under review)

	Daytime Occupancy		24-Hour Occupancy	
	U-factor	SHGC	U-factor	SHGC
Mass Walls	2.017	-	3.11	-
Curtain Walls, Other	2.72	-	4.11	-
Roofs	5.46	-	5.86	-
North Windows	-3.10	29.66	-11.95	62.14
Non-North Windows	-2.98	34.86	-11.62	68.45
Skylights	-93.44	298.82	-294.12	876.70

Table 12-5: Envelope Performance Factor Coefficients – Cold Climate
(Under review)

	Daytime Occupancy		24-Hour Occupancy	
	U-factor	SHGC	U-factor	SHGC
Mass Walls	5.19	-	5.19	-
Curtain Walls, Other	6.76	-	6.79	-
Roofs	5.69	-	5.67	-
North Windows	1.55	9.13	1.55	9.13
Non-North Windows	-1.13	16.32	-1.13	16.32
Skylights	-93.44	283.18	-93.44	283.18

12.1.2 Overhang and Side Fin Coefficients

The “M” multiplication factor can also be calculated using Equation 4.3.3. If the equation is used, a separate calculation shall be made for each orientation and unique shading condition.

Equation 12-2: $M= a.PF^2+b.PF^2$

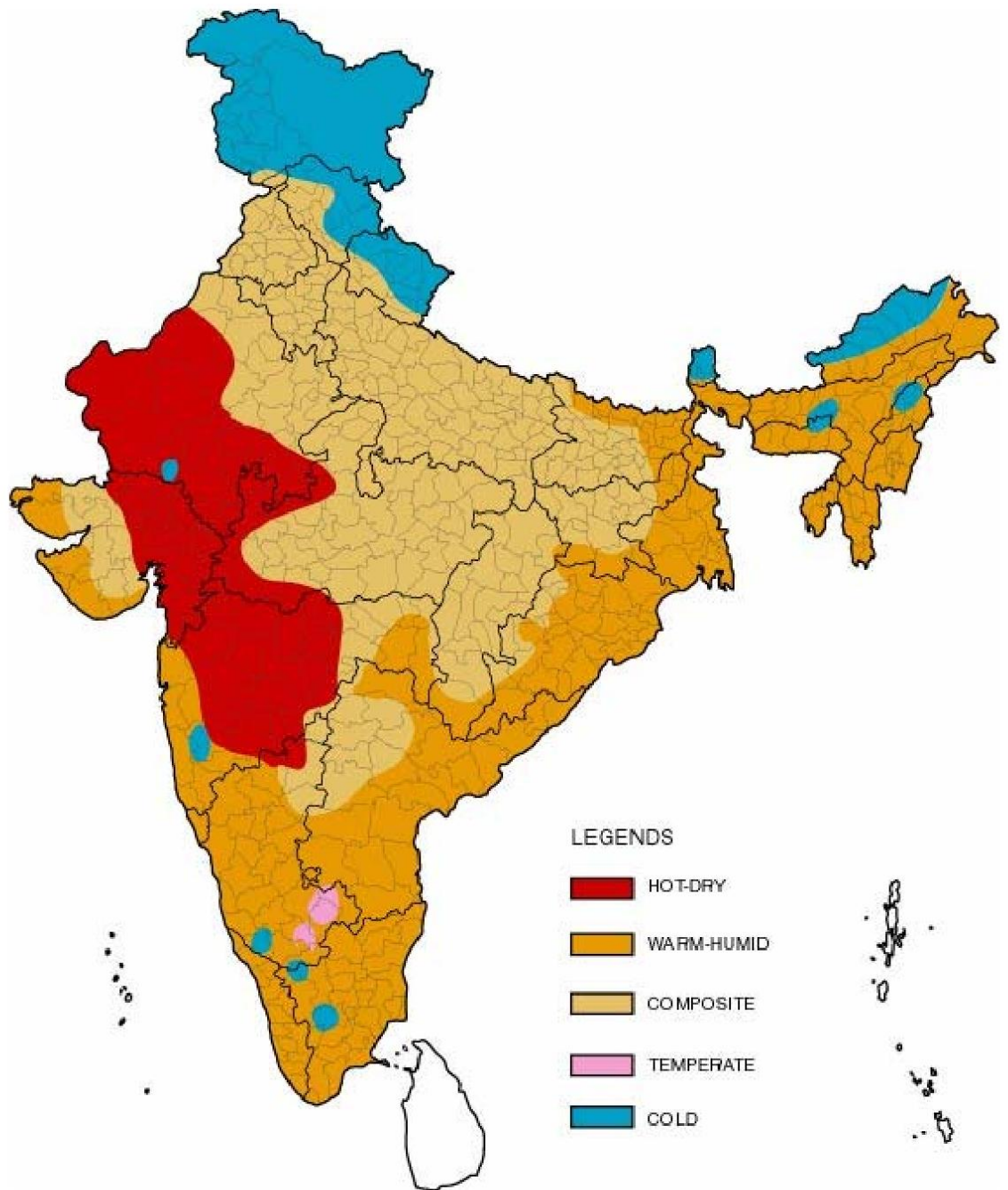
Table 12-6: Overhang and Side Fin Coefficients

Device	Coefficient	North	South	East/West
Overhangs	A	0.16	0.21	0.10
	B	-0.61	-0.83	-0.58
Side Fins	A	0.23	0.12	0.14
	B	-0.74	-0.59	-0.52

12.1.3 Baseline Building Definition

The following rules shall be used to define the Baseline Building for Envelope Tradeoff.

- (a) The Baseline Building shall have the same building floor area, gross wall area and gross roof area as the proposed design. If the building has both 24-hour and daytime occupancies, the distribution between these shall be the same as the proposed design.
- (b) The U-factor of each envelope component shall be equal to the criteria from clause 4.3 for each class of construction.
- (c) The vertical fenestration area shall be equal to the proposed design or 40% of the gross exterior wall area, whichever is less. The skylight area shall be equal to the proposed design or 5% of the gross exterior roof area, whichever is less.
- (d) The SHGC of each window or skylight component shall be equal to the criteria from clause 4.3.

13 Appendix E: Climate Zone Map of India and Andhra Pradesh

Source: National Building Code 2005, Part 8, Fig. 2

14 Appendix F: Air - Side Economizer Acceptance Procedures Envelope Summary

14.1 Construction Inspection:

Prior to performance testing verify and document the following:

- System controls are wired correctly to ensure economizer is fully integrated (i.e. economizer will operate when mechanical cooling is enabled.)
- Economizer lockout control sensor location is adequate (open to air but not exposed to direct sunlight nor in an enclosure; away from sources of building exhaust; at least 8m [25ft] away from cooling towers).
- System is provided with barometric relief, relief fan or return fan to control building pressure.

14.2 Equipment Testing:

Step 1: Simulate a cooling load and enable the economizer by adjusting the lockout control setpoint. Verify and document the following:

- Economizer damper modulates opens to 100% outside air.
- Return air damper modulates closed and is completely closed when economizer damper is 100% open.
- Economizer damper is 100% open before mechanical cooling is enabled.
- Relief fan or return fan (if applicable) is operating or barometric relief dampers freely swing open.

Step 2: Continue from Step 1 and disable the economizer by adjusting the lockout control setpoint. Verify and document the following:

- Economizer damper closes to minimum ventilation position.
- Return air damper opens to at or near 100%
- Relief fan (if applicable) shuts off or barometric relief dampers close. Return fan (if applicable) may still operate even when economizer is disabled.

15 Appendix G: Compliance Forms

15.1 Envelope Summary

Envelope Summary

2007 Energy Conservation Building Code Compliance Forms

Project Info

Project Address

Date

For Building Department Use

Applicant Name:

Applicant Address:

Applicant Phone:

Project Description

☐ New Prescriptive Building Performance

☐ Addition Envelope Trade-Off (Appendix D)

☐ Alteration

☐ Change of Use Whole

☐ Hospital, hotel, call center types (daytime)

☐ (24-hour)

Other building types

Vertical Fenestration Area Calculation

Note: Vertical fenestration area can not exceed 40% of the gross wall area for prescriptive option.

Skylight Area Calculation

Note: Vertical fenestration area can not exceed 40% of the gross wall area for prescriptive option.

Total Vertical Fenestration Area (rough opening)

÷

Total Skylight Area (rough opening)

÷

Divided by

Divided by

Gross Exterior Wall Area

Gross Exterior Wall Area

Times 100 equals

Times 100 equals

% vertical Fenestration

% skylight

X100=

X100=

Hospital, hotel, call center (24 hour)

OPAQUE ASSEMBLY

Roof Minimum Insulation R-value

Wall Minimum Insulation R-value

FENESTRATION

Vertical

Maximum U-factor

Maximum SHGC (or SC)

Overhang (yes or no)

If yes, enter Projection Factor

Side fins (yes or no)

If yes, enter Projection Factor

Skylight

Maximum U-factor

Maximum SHGC (or SC)

Other building type (daytime)

OPAQUE ASSEMBLY

Roof Minimum Insulation R-value

Wall Minimum Insulation R-value

FENESTRATION

Vertical

Maximum U-factor

Maximum SHGC (or SC)

Overhang (yes or no)

If yes, enter Projection Factor

Side fins (yes or no)

If yes, enter Projection Factor

Skylight

Maximum U-factor

Maximum SHGC (or SC)

15.2 Building Permit Plans Checklist

Building Permit Plans Checklist					ENVELOPE Checklist		
2007 Energy Conservation Building Code Compliance Forms							
Project Address						Date	
The flowing information is necessary to check a building permit application for compliance with the building envelope requirements in the Energy Conservation Building Code, 2007.							
Applicability (yes, no, n.a.)		Code Section	Component	Information Required		Location on Plans	Building Department Notes
MANDATORY PROVISIONS (Section 4.2)							
			4.2.1	Fenestration rating			
			4.2.1.1	U-factor	Specify whether per 4.2.1.1 or default in Appendix C		
			4.2.1.2	SHGC	Specify whether per 4.2.1.2 or default in Appendix C		
			4.2.1.3	Air leakage	Specify leakage rates		
			4.2.2	Opaque U- factors	Specify whether per default in Appendix C or ASHRAE		
			4.2.3	Bldg. env. Sealing	Indicate sealing, caulking, gasketing, and weather stripping		
PRESCRIPTIVE COMPLIANCE OPTION (Section 4.3)							
			4.3.1	Roof	Indicate R-values on roof sections		
			4.3.1.1	Cool roof	Indicate minimum reflectance and emittance on plans		
			4.3.2	Roof	Indicate R-values on wall sections		
			4.3.3	Vertical fenestration	(1) Indicate U-factors on fenestration schedule. Indicate if values are rated or default. If values are default, then specify frame type, glazing layers, gapwidth, low-e. (2) Indicate SHGC or SC on fenestration schedule. Indicate if values are rated or default. (3) Indicate if overhangs or side fins are used for compliance purposes. If so, provide projection factor calculation.		
			4.3.4	Skylights	(1) Indicate U-factors on fenestration schedule. Indicate if values are rated or default. If values are default, then specify frame type, glazing layers, gapwidth, low-e.		

15.4 Mechanical Checklist

Mechanical Permit Checklist					MECHANICAL Checklist		
2007 Energy Conservation Building Code Compliance Forms							
Project Address						Date	
The flowing information is necessary to check a building permit application for compliance with the building envelope requirements in the Energy Conservation Building Code, 2007.							
Applicability (yes, no, n.a.)	Code Section	Component	Information Required			Location on Plans	Building Department Notes
HEATING, VENTILATION, AND AIR CONDITIONING (Chapter 5)							
MANDATORY PROVISIONS (Section 5.2)							
		5.2.2	Equipment efficiency	Provide equipment schedule with type, capacity, efficiency			
		5.2.3	Controls				
		5.2.3.1	Time clocks	Indicate thermostat with night setback, 3 different day types, and 2-hour manual override			
		5.2.3.2	Temp. & dead band	Indicate temperature control with 3 degree C deadband minimum			
		5.2.3.3	Cooling tower, fluid cooler	Indicate two-speed motor, pony motor, or variable speed drive to control the fans			
		5.2.4.1	Piping & ductwork				
		5.2.4.1	Piping insulation	Indicate R-value of insulation			
		5.2.4.1	Ductwork insulation	Indicate R-value of insulation			
		5.2.4.1	Ductwork sealing	Specify sealing types and locations			
		5.2.4	System balancing	Specify system balancing			
PRESCRIPTIVE COMPLIANCE OPTION (Section 5.3)							
		5.3		Indicate whether project is complying with ECBC Prescriptive Option OR with ASHRAE Standard 90.1-2004			
		5.3.1	Economizer				
		5.3.3.1	Air economizer	Indicate 100% capability on schedule			
		5.3.3.2	Integrated operation	Indicate capability for partial cooling			
		5.3.3.3	Field testing	Specify tests			
		5.3.2	Variable flow hydronic				
		5.3.3.1	Pump flow rates	Indicate variable flow capacity on schedules			

			5.3.3 .2	Isolation valves	Indicate two-way automatic isolation valves		
			5.3.3 .3	Variable speed drive	Indicate variable speed drive		
SERVICE WATER HEATING AND PUMPING (Chapter 6)							
MANDATORY PROVISIONS (Section 6.2)							
			6.2.1	Solar water heating	Provide calculations to justify capacity to meet 20% threshold		
			6.2.2	Equipment efficiency	Provide equipment schedule with type, capacity, efficiency		
			6.2.4	Piping insulation	Indicate R-value of insulation		
			6.2.5	Heat traps	Indicate heat trap on drawings or provide manufacturers specifications to show that equipment has internal heat trap		
			6.2.6	Pool covers	Provide vapor retardant cover for pools		
			6.2.6	Pools over 32 ⁰ C	Provide R-2.1 insulation		

15.5 Lighting Summary

Lighting Summary				
2007 Energy Conservation Building Code Compliance Forms				
Project Info		Project Address		Date
				For Building Department
				Use
		Applicant Name:		
		Applicant Address:		
		Applicant Phone:		
Project Description	☐ New	☐ Addition	☐ Alteration	☐ Change of Use
Compliance Option	☐ Prescriptive ☐ Envelope Trade-Off (Appendix D) ☐ Whole Building Performance			
Alterations Exceptions (Check box, if appropriate)	☐ Less than 50 % of fixtures are new and installed lighting wattage is not being increased			
Maximum Allowed Lighting Wattage (Interior, Section 7.3)				
Location (floor/room no.)	Occupancy Description	Allowed Wattsper m ² **	Area in m ²	Allowed x Area
** Document all exceptions Allowed Watts			Total	
Proposed Lighting Wattage (Interior)				
Location (floor/room no.)	Fixture Description	Number of Fixtures	Watts/ Flxture	Watts Proposed
Total Proposed Watts may not exceed Total Allowed Wattage for Interior Total Allowed Watts				
Maximum Allowed Lighting Wattage (Exterior, Section 7.4)				
Location	Description	Allowed Wattsper m ² or per lm	Area in m ² (or lm for perimeter)	Allowed watts x m ² (or x lm)
Total Allowed Watts				
Proposed Lighting Wattage (Exterior)				
Location	Fixture Description	Number of Fixtures	Watts/ Flxture	Watts Proposed

Total Allowed				
Watts				

15.6 Lighting Permit Checklist

Lighting Permit Checklist					LIGHTING Checklist		
2007 Energy Conservation Building Code Compliance Forms							
Project Address						Date	
The flowing information is necessary to check a building permit application for compliance with the building envelope requirements in the Energy Conservation Building Code, 2007.							
Applicability (yes, no, n.a.)	Code Section	Component	Information Required			Location on Plans	Building Department Notes
LIGHTING (Section 7.2)							
MANDATORY PROVISIONS (Section 7.2)							
			7.2.1	Lighting Controls			
			7.2.1.1	Automatic shutoff	Indicate automatic shutoff locations or occupancy sensors		
			7.2.1.2	Space control	Provide schedule with type, indicate locations		
			7.2.1.3	Daylight zones	Provide schedule with type and features, indicate locations		
			7.2.1.4	Exterior lighting control	Indicate photosensor or astronomical time switch		
			7.2.1.5	Additional control	Provide schedule with type, indicate locations		
			7.2.2	Signage/Advertising Signage	Indicate 5 watts maximum		
			7.2.3	Exterior Building grounds lighting	Indicate minimum efficacy of 60 lumens/Watt		
PRESCRIPTIVE INTERIOR LIGHTING POWER COMPLIANCE OPTION (Section 7.3)							
			7.3		Indicate whether project is complying with the Building Area Method (7.3.2) or the Space Function Method (7.3.3)		
			7.3.2	Building area method	Provide lighting schedule with wattage of lamp and ballast and number of fixtures. Document all exceptions.		
			7.3.3	Space function method	Provide lighting schedule with wattage of lamp and ballast and number of fixtures. Document all exceptions.		
			7.3.4.1	Luminaire wattage	Indicate on plans		
PRESCRIPTIVE EXTERIOR LIGHTING POWER COMPLIANCE OPTION (Section 7.3.5)							
			7.3.5	Building area method	Provide lighting schedule with wattage of lamp and ballast and number of fixtures. Document all exceptions.		

15.7 Electrical Power (Section 8)

MANDATORY PROVISIONS (Section 8.2)							
			8.2.1	Transformers	Provide schedule with transformer losses		
			8.2.2	Motor efficiency	Provide equipment schedule with motor capacity, efficiency		
			8.2.3	Power factor correction	Provide schedule with power factor correction		
			8.2.4	Check metering	Provide check metering and monitoring		

15.8 Simplified Prescriptive Format

Prescriptive Method Compliance Format for APECBC

Prescriptive Method Compliance Format				
2013 AP Energy Conservation Building Code Compliance Forms				
Project Info	Project Address		Date	
			For Building	
			Department Use	
	Applicant Name:			
	Applicant Address:			
Applicant Phone:				
Project Description	New	Addition	Alteration	Change of Use
	Prescriptive			

Prescriptive Requirements (24 – Hour Use Buildings Hospitals, Hotels, Call Centres etc.)

Particulars	Maximum Value		Minimum Value	
Building Envelope				
	Maximum U – factor of the overall assembly (W/m ² – °C)		Minimum R value of insulation alone (m ² – °C / W	
	C ¹	W&H ²	C	W&H
Roofs	U-0.261	U-0.261	R-3.5	R-3.5
Submitted Values				
Opaque Walls	U-0.440	U-0.440	R-2.10	R-2.10
Submitted Values				
Vertical Fenestration ³	Maximum U – factor of the overall assembly (W/m ² – °C)		WWR<=40% Max SHGC	
	C	W&H	C	W&H
	U-3.3		0.25	
			40% < WWR<= 60% Max SHGC	
	C	W&H	C	W&H
			0.2	
Submitted Values				
Minimum Visible Transmission (VLT)	Window Wall Ratio		Minimum VLT	
	C	W&H	C	W&H
	0-0.3		0.27	
	0.31-0.4		0.20	
	0.41-0.5		0.16	
	0.51-0.6		0.13	
Submitted Values				
Skylights	Maximum U Factor		Maximum SHGC	
	With Curb	W/o Curb	0-2% SRR	2.1-5% SRR
	C	W&H	C	W&H
	11.24	7.71	0.40	0.25
Submitted Values				

¹ C- Composite
² W&H – Warm and Humid
³ For Exceptions to this clause please refer to Table 4.4 of APECBC.

Prescriptive Requirements (Day time Use Buildings / other buildings types etc.)

Particulars	Maximum Value		Minimum Value	
Building Envelope				
	Maximum U – factor of the overall assembly (W/m ² – °C)		Minimum R value of insulation alone (m ² – °C / W	
	C	W&H	C	W&H
Roofs	U-0.409	U-0.409	R-2.1	R-2.1
Submitted Values				
Opaque Walls	U-0.440	U-0.440	R-2.10	R-2.10
Submitted Values				
Vertical Fenestration ⁴	Maximum U – factor of the overall assembly (W/m ² – °C)		WWR<=40% Max SHGC	
	C	W&H	C	W&H
	U-3.3		0.25	
			40% < WWR<= 60% Max SHGC	
	C	W&H	C	W&H
			0.2	
Submitted Values				
Minimum Visible Transmission (VLT)	Window Wall Ratio		Minimum VLT	
	C	W&H	C	W&H
	0-0.3		0.27	
	0.31-0.4		0.20	
	0.41-0.5		0.16	
	0.51-0.6		0.13	
Submitted Values				
Skylights	Maximum U Factor		Maximum SHGC	
	With Curb	W/o Curb	0-2% SRR	2.1-5% SRR
	C	W&H	C	W&H
	11.24	7.71	0.40	0.25
Submitted Values				

Prescriptive Requirements for Heating, Ventilation and Air Conditioning

The prescriptive requirements apply only if the HVAC system in the building meets the following criteria:

Please furnish below information	
Whether HVAC system serves single zone (Yes/No)	
Cooling is provided by a unitary packaged / split AC/ heat pump (Yes/No)	
Heating is provided by a unitary packaged / split heat pump/ fuel fired furnace, electric resistance heater or baseboards connected to boiler (Yes/No)	
Outside air quantity is less than 1400 l/s (3000 cfm) and less than 70% of supply air at design conditions (Yes/No)	

Other HVAC systems shall comply with ASHARE 90.1-2004, §6.5

Air-Side Economizers

Each individual cooling fan system that has a design supply capacity over 1,200 l/s (2,500 cfm) and a total mechanical cooling capacity over 22 kW (6.3 tons) shall include either:	Please mark ✓ to choose option
---	--------------------------------

⁴ For Exceptions to this clause please refer to Table 4.4 of APECBC.

An air economizer capable of modulating outside-air and return-air dampers to supply 100% of the design supply air quantity as outside-air;	Provided	Not Provided
or		
A water economizer capable of providing 100% of the expected system cooling load at outside air temperatures of 10°C (50°F) dry-bulb/7.2°C (45°F) wet-bulb and below.	Provided	Not Provided

Exceptions to above are:

- Projects in the **hot-dry and warm-humid** climate zones are exempt.
- Individual ceiling mounted fan systems < 3,200 l/s (6,500 cfm) are exempt.

Variable Flow Hydronic Systems

(a) Variable Fluid Flow in Chilled or Hot Water System		
Chilled or hot-water systems shall be designed for variable fluid:	Please mark ✓ to choose option	
Designed to reducing pump flow rates to no more than the larger of 50% of the design flow rate	Yes	No
or		
Designed as per the minimum flow required by the equipment manufacturer for proper operation of the chillers or boilers.	Yes	No

(b) Automatic Isolation Valves		
Water cooled air-conditioning or heat pump units with a circulation pump motor greater than or equal to 3.7 kW (5hp) shall follow either:	Please mark ✓ to choose option	
Two-way automatic isolation valves on each water cooled air-conditioning is provided	Yes	No
or		
Is heat pump unit that are interlocked with the compressor can shut off condenser water flow when the compressor is not operating	Yes	No

If both above (a) and (b) comply		
Pump motors greater than or equal to 3.7 kW (5 hp) controlled by variable speed drives.	Yes	No