

Mutual Funds

Aditya Birla Sun Life Mutual Fund



**ADITYA BIRLA
CAPITAL**

PROTECTING INVESTING FINANCING ADVISING

Details of Ultimate Beneficial Owner including additional KYC, FATCA & CRS information

Name of the entity																										
Type of address given at KRA	☒	Residential or Business	☒	Residential	☒	Business	☒	Registered Office																		
Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes																										
Customer ID / Folio Number																										
PAN											Date of incorporation															
City of incorporation																										
Country of incorporation																										

ADDITIONAL KYC INFORMATION

Gross Annual Income (Rs.) [Please tick (✓)]	☐ Below 1 Lac	☐ 1 - 5 Lacs	☐ 5 - 10 Lacs	☐ 10 - 25 Lacs	☐ >25 Lacs - 1 Crore	☐ >1 Crore
Net-worth	Rs. _____ as on _____ (Not older than 1 year)					
Politically Exposed Person (PEP) Status* (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors)	☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable					
*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.						
Non-Individual Investors involved/ providing any of the mentioned services	☐ Foreign Exchange/Money Changer Services ☐ Gaming/Gambling/Lottery/Casino Services ☐ Money Lending/Pawning ☐ None of the above					
Entity Constitution Type	☒ Partnership Firm ☐ HUF ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP/BOI					
Please tick as appropriate	☒ Trust/ Liquidator ☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others specify _____					

FATCA & CRS DECLARATION

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India ☒ Yes ☒ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number*	Identification Type (TIN or Other*, please specify)

* In case Tax Identification Number is not available, kindly provide its functional equivalent*.
 In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.
 In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

FATCA & CRS Declaration

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a, Financial institution* ☒ or Direct reporting NFE? ☒ (please tick as appropriate)	GIN _____ Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity _____ _____ _____
GIIN not available (please tick as applicable) ☒ Applied for If the entity is a financial institution, ☒ Not required to apply for - please specify 2 digits sub-category ¹⁰ ☐ ☒ Not obtained - Non-participating FI	

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market)	Yes ☒ (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange _____
2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market)	Yes ☒ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company _____ Nature of relation: ☒ Subsidiary of the Listed Company or ☒ Controlled by a Listed Company Name of stock exchange _____
3. Is the Entity an active ³ NFE	Yes ☒ (If yes, please fill UBO declaration in the next section.) Nature of Business _____ Please specify the sub-category of Active NFE ☐ (Mention code - refer 2c of Part D)
4. Is the Entity a passive ⁴ NFE	Yes ☒ (If yes, please fill UBO declaration in the next section.) Nature of Business _____

Refer 2c of Part D | Refer 3(ii) of Part D | Refer 1 of Part D | Refer 3(vii) of Part D | Refer 1A of Part D

