

DECLARATION OF ULTIMATE BENEFICIAL OWNERSHIP
(Mandatory for Non-individuals)

Part I : Applicant Details

Name of the Applicant : Modi Properties Private Ltd.

Corporate Identity No. / Registration No. : _____

PAN : AAACM4761E

Registered Address : _____

Part II : Equity Listed Company / its subsidiary or controlled company

We hereby declare that:

- ☐ Our Company is an Equity Listed Company listed on recognized stock exchange in India
- ☐ Our Company is a subsidiary of the Equity Listed Company
- ☐ Our Company is controlled by the Equity Listed Company / subsidiary of the Equity Listed Company
(for other shareholders (non-equity listed company) having controlling interest, provide the mandatory information in Part III)

If any of the above options is selected, please provide details of the Equity Listed Company.

Name of the Equity Listed Company : _____

ISIN : _____

Stock Exchange on which listed : _____

If none of the above options are applicable, kindly provide the mandatory information in Part III below.

Part III : Non-individuals other than Equity Listed Company / its subsidiary or controlled company

Category [tick applicable category]

- | | | |
|---|--|--------------------------------|
| ☐ Unlisted Company | ☐ Partnership Firm / LLP | ☐ Trust |
| ☐ Unincorporated association/ Body of Individuals/ Societies | ☐ Others, please specify
_____ | |

For MODI PROPERTIES PVT. LTD.


Authorised Signatory

Details of Ultimate Beneficiary Owners (Please provide KYC documents of the UBO. Refer Instructions):

Sr. No.	Name of the UBO with Designation/ Position wherever applicable	PAN or any other valid ID proof if PAN is not applicable	Country of Birth (COB), Country of Residence (COR) and Nationality/ Citizenship	Countries of Tax Residency (CTR)	Proof of Identity and Address (enclosed any 1)	Percentage of ownership (%)	UBO Code [Refer Instruction]
1.	Soham Satish Modi	AMMPM 6255M	COB _____ COR _____ CCN _____	CTR _____ Foreign Tax ID No. _____	Passport  Voter Id  Driving License  Aadhaar (masked)  NREGA Job Card  Letter from National Population Register  Others, please specify: 	92.83% 92.83%	
2.			COB _____ COR _____ CCN _____	CTR _____ Foreign Tax ID No. _____			
3.			COB _____ COR _____ CCN _____	CTR _____ Foreign Tax ID No. _____			
4.			COB _____ COR _____ CCN _____	CTR _____ Foreign Tax ID No. _____			
5.			COB _____ COR _____ CCN _____	CTR _____ Foreign Tax ID No. _____			
6.			COB _____ COR _____ CCN _____	CTR _____ Foreign Tax ID No. _____			

For MODI PROPERTIES PVT. LTD.


Authorised Signatory

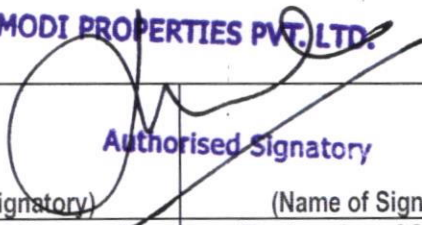
Part IV : Declaration

I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We shall be liable for it. In case the above information is not provided, we understand that it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit and in such case, the Company reserves the right to reject the application, if subsequently it is found that the applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed immediately in writing about any changes/modifications to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us with the agents/ service providers or any statutory/ judicial/ government authorities, the tax/ revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

I / We hereby authorize Aditya Birla Finance Limited to collect, store and verify KYC data of Beneficial Owner(s) from CERSAI in compliance with the Master Direction – Know Your Customer (KYC) Direction, 2016. I/We confirm that I/We are competent and fully authorized to provide the said consent on behalf of Beneficial Owner(s).

Signatures:

For MODI PROPERTIES PVT.LTD.

 Authorised Signatory		
(Name of Signatory)	(Name of Signatory)	(Name of Signatory)
(Designation of Signatory)	(Designation of Signatory)	(Designation of Signatory)

(Name of Signatory)	(Name of Signatory)	(Name of Signatory)
(Designation of Signatory)	(Designation of Signatory)	(Designation of Signatory)

Seal of the Applicant (if applicable):

Date:	DD	MM	YYYY
Place:			

Instructions

As per the requirements of Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 and Know Your Customer (KYC) Direction, 2016 dated 25 February 2016 issued by Reserve Bank of India, as a part of applicant due diligence, the applicants (other than individuals) are required to provide the details of Ultimate Beneficial Ownership (UBOs) and submit appropriate KYC documents of such UBOs.

Ultimate Beneficial Owner (UBO):

The Natural Person, who whether acting alone or together, or through one or more juridical person, exercise control or have a controlling ownership interest in the applicant.

Applicant Type	UBO Code	Beneficial Owners
Unlisted Company	UBO - 1A	Natural Person holding/ entitled (directly / indirectly) to more than 10% of the shares or capital or profits of the Company [Ownership] ; or
	UBO - 1B	Natural Person having right/ entitled to appoint majority of the directors or to control the management or policy decisions including by virtue of shareholding or management rights or shareholders agreements or voting agreements [Control] ; or
	UBO - 1C	Where no natural person is identified, the beneficial owner is the relevant natural person who holds the position of senior managing official.
Partnership firm / LLP	UBO - 2A	Natural Person holding/ entitled to more than 10% of the capital or profits of the partnership firm [Ownership] ; or
	UBO - 2B	Natural Person having right to control the management or policy decision or who exercises control through other means [Control] ; or
	UBO - 2C	Where no natural person is identified, the beneficial owner is the relevant natural person who holds the position of senior managing official.
Un-incorporated Association or Body of Individuals or Society	UBO - 3A	Natural Person holding/ entitled to more than 15% of the property or capital or profits [Ownership] ; or
	UBO - 3B	Where no natural person is identified, the beneficial owner is the relevant natural person who holds the position of senior managing official.
Trust	UBO - 4A	The author/ settlor of the trust; or
	UBO - 4B	The trustee; or
	UBO - 4C	The beneficiaries with 10% of more interest in the trust; or
	UBO - 4D	Any other natural person exercising ultimate effective control over the trust through a chain of control or ownership [Control] .

For MODI PROPERTIES PVT. LTD.


Authorised Signatory