

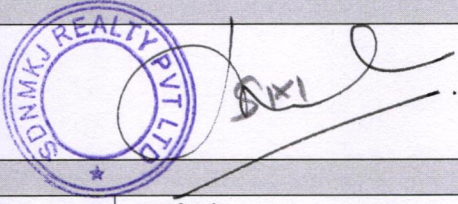
SRPL

Private/ Public Limited Company – Questionnaire

FEDERAL BANK

YOUR PERFECT BANKING PARTNER

Questionnaire related to Beneficial Ownership to be collected from customers during account opening.

Sl No.	Query	Response
1.	Name the shareholders who are holding more than 10% of shares or capital in the company.	
2.	Are there any close relatives of such persons who are also holding the shares in the company? If yes, all such close relatives need to be identified as Beneficial owners. If No, the shareholder(s) identified under question no. 1 will be treated as beneficial owner(s).	
3.	If no one is holding 10% shares in the company, mention the top 3 shareholders in the company. Are there any close relatives of each of them holding shares in the company? If so, please provide the consolidated share holding of each person and his close relatives If the consolidated shareholding crosses the 10% limit, all such shareholders needs to be identified as Beneficial owner(s).	
4.	If 1 to 3 above are not applicable, are there any persons associated with the company who can/has right to: - a. appoints majority of the directors. b. control the management. c. takes policy decisions by any other means. If yes, the person(s) needs to be appointed as Beneficial owner(s).	
5.	Persons holding Senior Management officials of the Company such as MD, Directors, CFO, COO etc	
Customer Signature (Authorised Signatories)		
		
For Office Use		
Questionnaire Completed and attached to AOF		Verified
Assistant Manager		Manager/ Senior Manager/ AVP